



Media Release

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New Rules for the Admission of Investment Funds to Trading in the SIX Swiss Exchange – Sponsored Investment Fund Segment

With effect from 1 March 2013, SIX Swiss Exchange is introducing a new trading segment for investment funds: the SIX Swiss Exchange–Sponsored Investment Fund Segment.

So called sponsors (sponsoring securities dealers) can apply to SIX Exchange Regulation for the admission to trading of Swiss and foreign investment funds which have been approved by the Swiss Financial Market Supervisory Authority FINMA or authorized by FINMA for sale in and from Switzerland. The applicant sponsors undertake to fulfill all regulatory obligations in connection with the admission to trading, the maintenance of such admission to trading and to guarantee market making activities. Exchange traded funds (ETFs), exchange traded structured funds (ETSFs) and real estate funds are not allowed in the new segment.

The new trading segment will allow investors to trade Investment Fund units on the regulated electronic trading platform of SIX Swiss Exchange, so far, those investment fund units have had to be traded off-exchange. The clearing in the new segment will take place at current market price, avoiding the practice of forward pricing, which involves buying or selling fund units at a price that is set the day after the transaction is made, based on the previous day's net asset value.

FINMA has approved the Rules for the admission to trading of Investment Funds on the SIX Swiss Exchange–Sponsored Investment Fund Segment, which enters into force on 1 February 2013. The Rules are available with immediate effect on the following web page in English, German and French:
http://www.six-exchange-regulation.com/regulation/listing_rules_en.html.

Should you have any questions, please feel free to contact Dr Alain Bichsel, Head Media Relations.

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Marc Enseleit, Head Equity Securities, SIX Exchange Regulation, will be happy to answer any questions that you may have about the new Rules.

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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

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SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 150 banks of various size and orientation) and, with its workforce of more than 3,900 employees and presence in 25 countries, generated an operating income of 1.26 billion Swiss francs and a Group net income of CHF 218.6 million in 2011.

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