



## Media Release

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**SIX Exchange Regulation**  
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### **Addendum to Stock Exchange Act – Reporting obligation for shareholdings in foreign companies**

**The amended Stock Exchange Act and amended Stock Exchange Ordinance will come into effect on 1 May 2013. From now on, the provisions outlined in this stock exchange legislation governing the disclosure of shareholdings will also apply to companies domiciled abroad whose equity securities have their main listing at least in part on a Swiss stock exchange.**

In the case of companies domiciled in Switzerland, the total number of voting rights as entered in the Commercial Register is used as a basis for calculating whether a threshold value pursuant to Art. 20 para. 1 of the Stock Exchange Act has been reached (Art 12 para. 2 Stock Exchange Ordinance-FINMA). Since companies domiciled abroad are not entered in the Swiss Commercial Register, they themselves must publish the total number of equity securities they currently have in issue and the associated voting rights. The SIX Swiss Exchange Disclosure Office has now issued Notice I/13 providing more details on the ways in which this information must be published.

The Disclosure Office publishes on its website a list of companies domiciled abroad whose equity securities have their main listing in Switzerland according to the Stock Exchange Act. This list also includes direct links to issuers' websites on which information on the current number of equity securities and associated voting rights are published. The total number of voting rights published on the website of the relevant issuer is used as a basis for calculating the threshold value pursuant to Art. 20 of the Stock Exchange Act.

Amended Stock Exchange Act (SESTA) (in German)

<http://www.admin.ch/ch/d/as/2013/1103.pdf>

Amended Stock Exchange Ordinance (SESTO) (in German)

<http://www.admin.ch/ch/d/as/2013/1111.pdf>

Amended FINMA Stock Exchange Ordinance (SESTO-FINMA) (in German)

<http://www.admin.ch/ch/d/as/2013/1117.pdf>

Notice from the Disclosure Office dated 1 May 2013 (Notice I/13)

[http://www.six-exchange-regulation.com/obligations/disclosure/communiqués\\_en.html](http://www.six-exchange-regulation.com/obligations/disclosure/communiqués_en.html)

Companies not domiciled in Switzerland that have their main listing on SIX Swiss Exchange

[http://www.six-exchange-regulation.com/obligations/disclosure/foreign\\_companies\\_en.html](http://www.six-exchange-regulation.com/obligations/disclosure/foreign_companies_en.html)



Should you have any questions, please feel free to contact Dr Alain Bichsel, Head Media Relations.

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### **SIX Exchange Regulation**

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

[www.six-exchange-regulation.com](http://www.six-exchange-regulation.com)

SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 150 banks of various size and orientation) and, with its workforce of more than 3,900 employees and presence in 25 countries, generated an operating income of 1.26 billion Swiss francs and a Group net income of CHF 218.6 million in 2011.

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