



Media Release

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SIX Exchange Regulation
SIX Swiss Exchange Ltd
Selnaustrasse 30
P.O. Box 1758
CH-8021 Zurich
www.six-exchange-regulation.com

Media Relations:
T +41 58 399 2227
F +41 58 499 2710
pressoffice@six-group.com

Investigation against UBS AG

SIX Exchange Regulation is opening an investigation against UBS AG on the grounds of possible breaches of the rules governing ad hoc publicity.

Having completed its preliminary investigation, SIX Exchange Regulation, which is charged by SIX Swiss Exchange Ltd with the monitoring and enforcement of issuer obligations, is now opening an investigation against UBS AG on the grounds of possible breaches of the rules governing ad hoc publicity.

The investigation refers to the publication of the ad hoc releases dated 30 October 2012 («UBS announces strategic acceleration from a position of strength») and 19 December 2012 («UBS Board of Directors authorizes settlements of LIBOR-related claims with US and UK authorities; Swiss regulator to issue order»).

The duration of the investigatory proceedings is not determined. SIX Exchange Regulation will announce the findings. No further information will be provided while the investigatory proceedings are ongoing.

Should you have any questions, please feel free to contact Dr Alain Bichsel, Head Media Relations.

Phone: +41 58 399 2675
Fax: +41 58 499 2710
E-mail: pressoffice@six-group.com

SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

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SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 150 banks of various size and orientation) and, with its workforce of more than 3,500 employees and presence in 24 countries, generated an operating income of 1.14 billion Swiss francs and a Group net income of CHF 320.1 million in 2012.

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