



Media Release

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Investigation against Liechtensteinische Landesbank AG

SIX Exchange Regulation initiates an investigation against Liechtensteinische Landesbank AG on the grounds of possible breaches of the rules governing the disclosure of management transactions.

Having finished its preliminary investigation SIX Exchange Regulation has initiated an investigation against Liechtensteinische Landesbank AG on the grounds of possible breaches of the obligations regarding the disclosure of management transactions. The investigation will examine whether Liechtensteinische Landesbank AG instructed the persons subject to reporting obligations about the duty to disclose management transactions in good time and in sufficient detail.

Investigatory proceedings will continue for an indefinite period. SIX Exchange Regulation will announce the findings, however, no information will be provided while the investigation is ongoing.

Should you have any questions, please feel free to contact Dr Alain Bichsel, Head Media Relations.

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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

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