



## Media Release

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**SIX Exchange Regulation**  
SIX Swiss Exchange Ltd  
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### **Sanction against Eastern Property Holdings Limited for breaching the rules on ad hoc publicity**

**SIX Exchange Regulation has imposed a fine in the amount of CHF 20,000 on Eastern Property Holdings Limited**

SIX Exchange Regulation, which is charged by SIX Swiss Exchange Ltd with the monitoring and enforcement of issuer obligations, has by means of a sanction notice imposed a fine of CHF 20,000 on Eastern Property Holdings Limited, Tortola, British Virgin Islands, for infringing the rules governing ad hoc publicity. On 20 December 2012, Eastern Property Holdings Limited distributed the ad hoc notice regarding the change in its main shareholder too late.

The issuer has not been sanctioned by SIX Exchange Regulation or the Sanction Commission in the past three years. The violations of the issuer were considered to be the result of gross negligence and have been qualified as minor breach. In light of all circumstances, including the financial capacity of the issuer, SIX Exchange Regulation has imposed a fine of CHF 20,000. Eastern Property Holdings Limited has accepted the sanction notice.

Should you have any questions, please feel free to contact Dr Alain Bichsel, Head Media Relations.

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#### **SIX Exchange Regulation**

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading. [www.six-exchange-regulation.com](http://www.six-exchange-regulation.com)



### **Sanction Commission**

The Sanction Commission can impose sanctions in the case of violations of the Rule Books of SIX Swiss Exchange and Scoach Switzerland, the Listing Rules and the Additional Rules. It is composed of between five and eleven members. The Chairman of the Sanction Commission and half of its members are elected by the Regulatory Board, with the remaining members appointed by the Board of Directors of SIX.

**SIX** operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 150 banks of various size and orientation) and, with its workforce of more than 3,500 employees and presence in 24 countries, generated an operating income of 1.14 billion Swiss francs and a Group net income of CHF 320.1 million in 2012.

[www.six-group.com](http://www.six-group.com)