



Media Release

6 March 2014

SIX Exchange Regulation
SIX Swiss Exchange Ltd
Selnastrasse 30
P.O. Box 1758
CH-8021 Zurich
www.six-exchange-regulation.com

Media Relations:
T +41 58 399 2227
F +41 58 499 2710
pressoffice@six-group.com

Investigation against ams AG

SIX Exchange Regulation initiates an investigation against ams AG on the grounds of possible breaches of the rules governing the disclosure of management transactions.

Having finished its preliminary investigation SIX Exchange Regulation has initiated an investigation against ams AG on the grounds of possible breaches of the obligations regarding the disclosure of management transactions. The investigation will examine whether ams AG instructed the persons subject to reporting obligations about the duty to disclose management transactions in sufficient detail.

Investigatory proceedings will continue for an indefinite period. SIX Exchange Regulation will announce the findings, however, no information will be provided while the investigation is ongoing.

Should you have any questions, please feel free to contact Stephan Meier, Media Relations.

Phone: +41 58 399 3290
Fax: +41 58 499 2710
E-mail: pressoffice@six-group.com

SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

www.six-exchange-regulation.com

SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 150 banks of various size and orientation) and, with its



workforce of more than 3,500 employees and presence in 24 countries, generated an operating income of 1.14 billion Swiss francs and a Group net income of CHF 320.1 million in 2012.

www.six-group.com