



## Media Release

14 May 2014

**SIX Exchange Regulation**  
SIX Swiss Exchange Ltd  
Selnaustrasse 30  
P.O. Box 1758  
CH-8021 Zurich  
[www.six-exchange-regulation.com](http://www.six-exchange-regulation.com)

Media Relations:  
T +41 58 399 2227  
F +41 58 499 2710  
[pressoffice@six-group.com](mailto:pressoffice@six-group.com)

### Investigation against Credit Suisse Funds AG

**SIX Exchange Regulation is opening an investigation against Credit Suisse Funds AG on the grounds of possible breaches of regular reporting obligations.**

Having completed its preliminary investigations, SIX Exchange Regulation, which is charged by SIX Swiss Exchange Ltd with the monitoring and enforcement of issuer obligations, has opened an investigation against Credit Suisse Funds AG on the grounds of possible breaches of regular reporting obligations.

The investigation refers to a notification that was possibly sent late in January 2014 regarding the distribution relating to certain Exchange Traded Funds (ETFs) of Credit Suisse Funds AG. Within the framework of regular reporting obligations issuers are obliged to report to SIX Exchange Regulation technical and administrative information in order to guarantee smooth trading.

The duration of the investigatory proceedings is not determined. SIX Exchange Regulation will announce the findings. No further information will be provided while the investigatory proceedings are ongoing.

Should you have any questions, please feel free to contact Dr Alain Bichsel, Head Media Relations.

Phone: +41 58 399 2675  
Fax: +41 58 499 2710  
E-mail: [pressoffice@six-group.com](mailto:pressoffice@six-group.com)

#### **SIX Exchange Regulation**

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.



[www.six-exchange-regulation.com](http://www.six-exchange-regulation.com)

**SIX**

SIX operates Switzerland's financial market infrastructure and offers on a global scale comprehensive services in the areas of securities trading, clearing and settlement, as well as financial information and payment transactions. The company is owned by its users (approximately 140 banks of various size and orientation) and, with its workforce of more than 3,700 employees and presence in 24 countries, generated an operating income of 1.58 billion Swiss francs and a Group net profit of CHF 210.2 million in 2013.

[www.six-group.com](http://www.six-group.com)