



Media Release

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Succession planning in SIX Exchange Regulation management

The Board of Directors of SIX have elected Corinne Riguzzi as the new Head of SIX Exchange Regulation with effect from 1 January 2017. Corinne Riguzzi has been the Head of Surveillance & Enforcement since August 2012 as well as the Deputy Head of SIX Exchange Regulation since mid-2013. She has in-depth knowledge of the activities and duties of the self-regulation bodies of the stock exchange. Corinne Riguzzi succeeds Rodolfo Straub, who is transferring his responsibilities to his successor early in view of his retirement.

The Board of Directors of SIX have elected Corinne Riguzzi as the new Head of SIX Exchange Regulation with effect from 1 January 2017. The Swiss Financial Market Supervisory Authority (FINMA) has approved the election.

Corinne Riguzzi has worked in the area of trading supervision at SIX Swiss Exchange since 2006. The 42-year-old lawyer has been admitted to the bar and holds a Master of Laws (LL.M). She headed up the Enforcement & Compliance department of Surveillance & Enforcement prior to assuming overall responsibility for the monitoring of trading at Surveillance & Enforcement within SIX Exchange Regulation in August 2012. Since then, she has also been a member of the Executive Board of SIX Exchange Regulation as well as Deputy Head of SIX Exchange Regulation since mid-2013. She is therefore not only familiar with the duties and processes associated with surveillance, but also with the various duties associated with the entire spectrum of self-regulation and the related bodies. Thanks to her membership of the IOSCO Affiliate Members Consultative Committee, Ms Riguzzi also has a strong international network.

Rodolfo Straub, who has headed up SIX Exchange Regulation since its creation at the beginning of 2009, requested to transfer his responsibilities to his successor early in view of his retirement. From January 2017, he will continue to work with SIX with a reduced workload, providing assistance with projects.

Alexandre Zeller, Chairman of the Board of Directors of SIX: «In Corinne Riguzzi, we are gaining a management expert with an extraordinary amount of experience in the area of exchange regulation and a very strong international network. I am also pleased that an internal candidate was able to successfully prove herself during the recruitment process for this central role. At the same time, I would like to sincerely thank Rodolfo Straub for his enormous commitment. He has made a substantial contribution to professionalism and to the credible entrenchment of self-regulation in securities exchange law.»



Should you have any questions, please feel free to contact Stephan Meier,
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SIX Exchange Regulation

SIX Exchange Regulation performs the functions assigned under Swiss federal law and enforces and monitors compliance with the rules laid down by the Regulatory Board. SIX Exchange Regulation imposes sanctions in so far as it is authorised to do so by the regulations, or submits sanction requests to SIX Swiss Exchange's Sanction Commission.

SIX Exchange Regulation's independence from SIX Swiss Exchange's operating business is guaranteed by its direct subordination to the Chairman of the Board of Directors of SIX Group. SIX Exchange Regulation consists of the divisions Listing & Enforcement, responsible for regulating issuers, and Surveillance & Enforcement monitoring trading.

www.six-exchange-regulation.com

SIX

SIX operates the infrastructure underpinning the Swiss financial sector and offers a comprehensive range of services around the world in the fields of securities trading and settlement, financial information and payment transactions. The company is owned by its users (approximately 140 banks of various orientation and size). Its workforce of over 4,000 employees and presence in 25 countries throughout the world generated operating income of CHF 1.8 billion and a Group net profit of CHF 713.7 million in 2015.

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