



# Fund Full Holdings & Look-Through

Know your instrument to assess your real exposure



## Your Challenge

Investor Protection regulations have been the starting point of financial institutions' clients new appetite for a clear and detailed knowledge of the instruments they are investing in. With new requirements and, in particular, the structural ESG trend, getting this detailed data has never been more crucial.

For many instruments, like equities or bonds, accessing complete information is fairly easy. For others, on the contrary, this is definitely a challenge; and funds are a rather topical vehicle in that respect. Indeed, when assessing the real nature of a fund, the prospectus is not enough: you need to review the entire list of all components of its portfolio, its "full holdings"; when this portfolio contains other funds, you need to dig deeper and proceed with a "look-through" of these positions.

Today, many regulations and corporate strategies require this level of information: when calculating a necessary amount of collateral, when monitoring global sanctions exposure, when assessing risk levels, when challenging sustainability, when proceeding with client reporting or regulatory disclosure. Now, the Fund Full Holdings & Look-Through service from SIX can support you.

## Our Offer

Based on the SIX Flex® platform, our service is available through two dedicated packages: Fund Full Holding and Fund Look-Through.

You can easily request and receive, for your funds universe, the respective flat files provided by the platform.

These files are humanly readable, easy to understand and to integrate in any banking or portfolio system. Besides, you may also easily complement the information with our additional regulatory and reference data packages, available in the same format.

If a fund is not covered and the data not available in your file? Our dedicated team will proceed, on demand, with the onboarding of the respective asset manager and monitor the future upgrades. Fund Full Holdings & Look-Through is not just a dataset delivery, it's a full service.

AVAILABLE  
SOON

### Fund Full Holdings

The flat file contains the list of positions held by the fund, their respective weighting, as well as their main characteristics, such as instrument name, symbology, type and other relevant data enriched from the world class SIX security master. You have therefore a clear view of the asset manager's portfolio

### Fund Look-Through

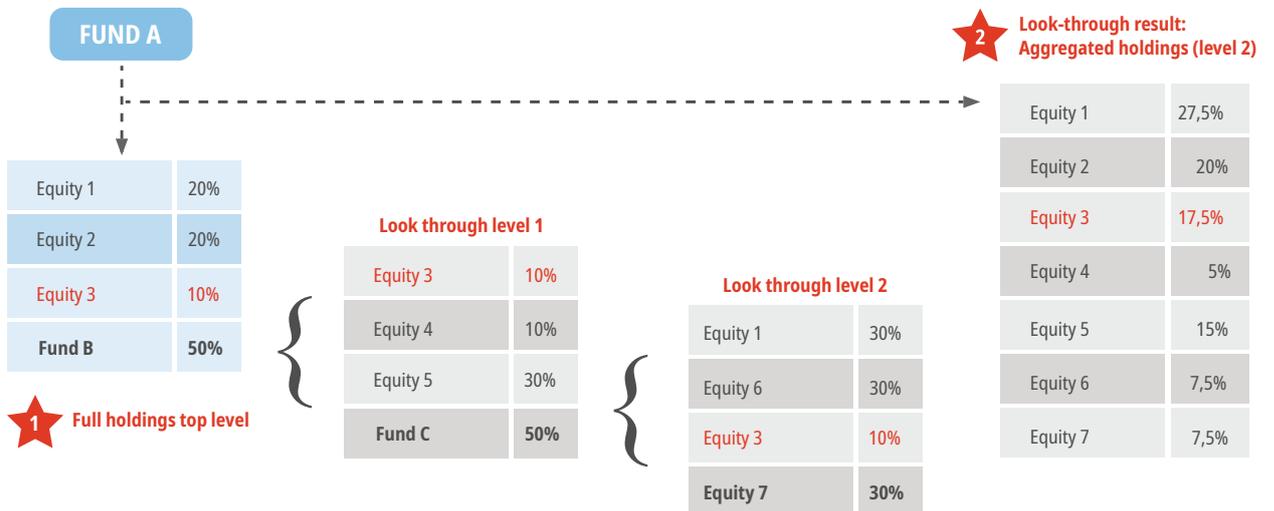
The flat file contains the full holdings information up to three levels of look-through and ultimately the aggregated holdings information. You have therefore a very granular assessment of your exposure thanks to our recursive methodology.

### Become a Partner

Becoming a client of Fund Full Holding & Look-Through does not only grant you access to high quality data; it also gives you an opportunity to shape the new services that will address your future needs. Based on the existing database, we will co-create new analytics tools to support additional market needs and regulatory requirements.

#### Key Benefits

- **Consistency:** you get the data from its very original source, the funds' asset managers.
- **Tailor-made:** with the SIX Flex® packages, you only get and pay for the data you need.
- **Coverage:** all types of funds are covered (UCITS, AIF, ETF...).
- **"Regulatory-ready":** the service supports many regulatory use cases (Solvency II, Sanctions, Risk Assessment, ESG...).
- **Specific support:** a dedicated team to monitor onboarding and data updates.



Example: analysis of Fund A with a focus on the exact exposure to Equity 3.

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