



Legal Reporting Services

The Company

In 2006, CETREL Securities S.A. was created as a **regulated company** supervised by the CSSF in Luxembourg and dedicated to financial data services and regulatory reporting. In March 2025, SIX Group acquired the company which is now known as SIX Financial Insights Luxembourg and continue to be regulated by the CSSF.

Legal Reporting Services Overview

Since the CSSF circulars 08/334 in 2008, our company has developed, first on the basis of SOFiE Business and next on the new CSSF-S3 channel, then beyond of it, three service lines for legal reporting and reporting formalities purposes.

These three lines of service facilitate and secure exchanges between participants and their respective regulators, namely the CSSF (Commission de Surveillance du Secteur Financier), the BCL (Banque Centrale du Luxembourg) and the CAA (Commissariat Aux Assurances).

Legal Reporting Services:

- SOFiE SORT
- ATLAS
- Initial Notifications

SOFiE SORT

SOFiE SORT (Secured Online Reporting Transfer) is a service to transfer reporting in a secured way towards the CSSF, the BCL and the CAA.

The SOFiE SORT service offers a graphical user interface (GUI) and is based on the SOFiE Crypt and the SOFiE Transport file transfer solutions, offering a double level of encryption in compliance with regulatory requirements.

The SOFiE SORT package is installed at the participant's site and named SOFiE SORT Station, and interacts dynamically & securely with SOFiE Server at SIX.

ATLAS

ATLAS is a regulatory filing service interacting with the CSSF. Based on the CSSF procedures description, ATLAS creates and sends the procedures with all the required information & files, and facilitates the follow-up.

ATLAS offers a graphical user interface (GUI) to let the Client Officers to define what they need to do and to transfer their information & files to SIX, in a secured way via a SOFiE SORT Station. Then ATLAS interacts with the CSSF according procedures to create and to send information & files to the CSSF.

ATLAS enables a high level of automation, up to the point of allowing the automatic execution of all operations.

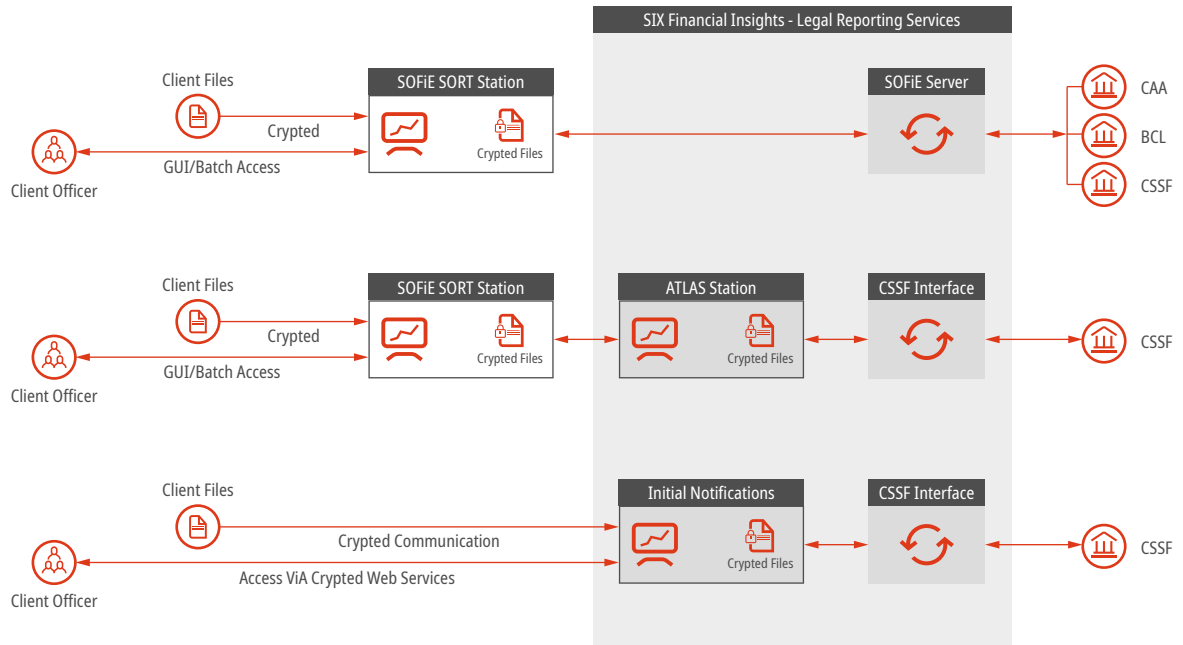
ATLAS covers thirty CSSF procedures, at least, such as Full prospectus, Offering Document, Annual report, Approval of a new UCI, Yearly financial information...

Initial Notifications

Initial Notifications is a service to allow the Client Officers to send to the CSSF their initial notifications when launching a new investment product, typically an investment fund but not only.

The Initial Notifications service is based on secured web services, which are invoked by the Client IT systems and then interact with the CSSF.

The Initial Notifications service performs all necessary checks to ensure that all information and documents are present, generates a PDF notification and sends it to the CSSF, which acknowledges receipt of the said notification.



Benefits

- ★ Large coverage of regulatory reports and procedures
- ★ Be fully compliant with the CSSF regulation
- ★ Recognition and verification of structured files avoiding the transmission of incorrectly structured files
- ★ Direct recognition of the receiving regulatory authority
- ★ Reliability - Stability - Reactivity - Highly Secure
- ★ Take advantage of the CSSF's S3 channel
- ★ Reduced Regulatory Risk & Total Cost of Ownership
- ★ Reduced Volatility in Process and Technology
- ★ Reduced User Time spending and Delivery risks
- ★ Improved Delivery Quality and Security
- ★ Increased Straight Through Processing (STP)
- ★ Highly configurable and can be easily reinstalled, moved or duplicated

Our Offer

- ★ SIX as single point of contact
- ★ 24/7 Supervision and Monitoring
- ★ Business Process Outsourcing facilities

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