



Sustainable Finance Disclosure Regulation (SFDR)

Become SFDR Compliant With High Quality ESG Regulatory Data

Effective SFDR compliance relies on accurate ESG information from investee companies and manufacturers of financial products. With SIX, you can access high quality SFDR-related ESG data, enabling you to fulfil your disclosure obligations easily and transparently.

The Data Challenge

From March 10, 2021, financial market participants in the EU, providing sustainability-related investment services, are required to comply with the new Sustainable Finance Disclosure Regulation (SFDR), which is being phased-in. SFDR is part of the EU Action Plan on Sustainable Finance (EU AP) which serves the goals to reorient capital flows towards sustainable investments, manage financial risks from climate change, environmental degradation and social issues, and foster transparency. It introduces a set of requirements for more transparent disclosure of sustainability metrics at entity and product level and will lead to better comparability and prevention of greenwashing.

Manufacturers of financial products and investment firms have to provide periodic reports, website and pre-contractual disclosures at entity level as well as for investment products with environmental or social characteristics and for sustainable investments. Alternatively, they have to provide a statement that the principle adverse impacts (PAI) of investment decisions on sustainability factors are not considered and the reasons therefore. By contrast, issuers of equity and debt instruments ('investee companies') are expected to disclose on the relevant ESG factors to allow investors and manufacturers of financial products to support their investment decisions. However, this is not mandatory for investee companies under SFDR. As a result, missing data, lack of granularity and the need to source ESG data from a wide

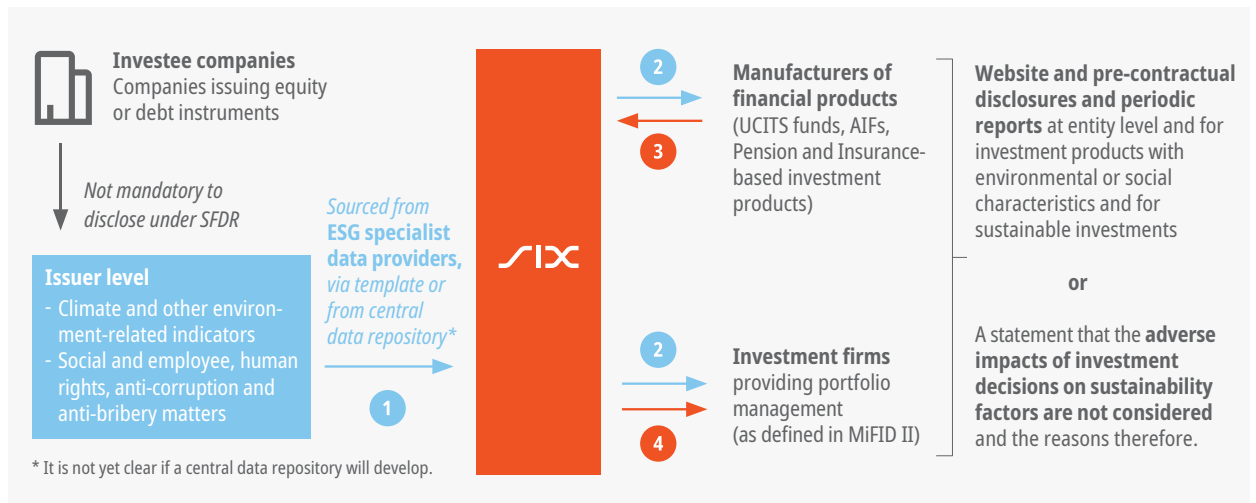
range of providers and issuers pose a great challenge for manufacturers of financial products and investment firms in order to disclose accurately and efficiently. Thus, there is a need for high quality, aggregated ESG data sourced from one provider that can be used in the back, middle and front office of financial services firms.

The SIX Solution

SIX supports clients in their compliance efforts with a data offering that addresses these SFDR requirements and challenges. The Final Report on draft Regulatory Technical Standards from 2 February 2021 defines 64 data attributes that will need to be sourced from investee companies and manufacturers of financial products and disclosed to investors under SFDR. SIX sources the required data accordingly and maps it to the respective companies as well as to the underlying ISINs (if appropriate), while also leveraging already existing regulatory offerings and our well known high quality reference data. With our data service for SFDR-related data, manufacturers of financial products and investment firms can rely on complete, clean, enriched, high quality data to disclose more easily and accurately.

In addition, as of mid-April, SIX delivers the first SFDR data under the MiFID II Investor Protection dataset. This information is sourced from product manufacturers and is used to identify financial instruments (SFDR Article 8 and 9 products) suitable for investors having sustainability preferences.

EU SFDR - Planned Data Flow

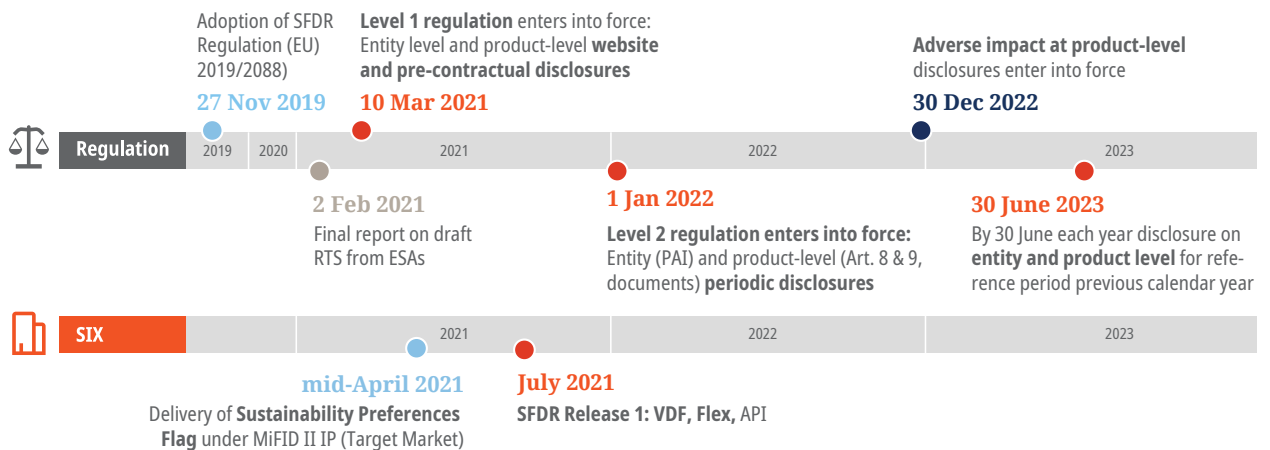


Key Benefits

Our SFDR data

- Is based on SIX's proven regulatory data competence and leverages our renowned reference data, so you can easily stay compliant with complete, clean, enriched, high quality data.
- Reduces the complexity of disclosure with one reliable data source to cover your SFDR data needs.
- Includes investee company, product manufacturer and financial instrument data.
- Frees up internal resources to tackle other priorities.

Timeline - SFDR



Why You Can Rely on Us and This Service

SIX has long-lasting expertise and an excellent reputation in implementing regulatory data within given time-frames, e.g. MiFID II and PRIIP. You can receive this data through the same delivery channels as our reference, corporate actions, regulatory and tax data and much more.

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