

Cluster Munitions

A tool from the SSMS suite

Monitor prohibitive war material restrictions to secure your investments

Complying with the Oslo Convention on Cluster Munitions (CCM), joined by 116 States and already ratified by 92 of them, represents a challenge for financial institutions. There is a risk of serious financial and reputational damage to investors that trade in banned instruments.

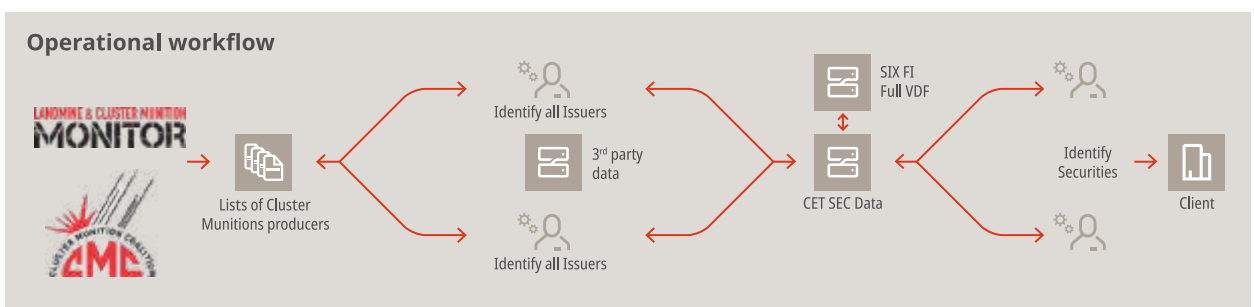
We have developed a range of financial data services providing, on a daily basis, a list of all banned securities related to the Oslo CCM.

We carry out daily monitoring of new issues from all banned companies, and monitor, on a regular basis, all documents listing Cluster Munitions producers. We process all these documents to identify all Cluster Muniton companies and their subsidiaries or branches, having potentially issued financial instruments.

From the list of potential issuing companies, an automatic matching is done with the extensive database from SIX to find all financial instruments sanctioned. When necessary, a manual matching is done.

Key Benefits

- Compliance with regulatory requirements
- Delivered before 9:00 am CET – Monday to Saturday
- Sent via email – FTP – sFTP in Excel or CSV format
- Provision of complete and delta lists
- Use of the full Financial Information database from SIX (with over 30 million financial instruments and 600 000 issuers) as main data provider



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