Subject: New Trading Segment “Sponsored Funds” to be added to the SMF – FOLLOW-UP

To: ☑ Business Coordinators ☑ Technical Coordinators

Authorised by: Customer Support

Markets: ☑ SIX Swiss Exchange ☑ Scoach Switzerland
☐ SIX Liquidnet Service ☐ BX Berne Exchange

Interfaces: ☑ SMF ☐ MDI ☐ IMI

Pages: 2

Date: 22.02.2013

Dear Customer

Following the SIX Exfeed Message No. 01/2013, please find below some further information regarding the launch of the "Sponsored Funds" segment.

SIX Swiss Exchange has decided that Sponsored Funds will have a NAV. This price information will be disseminated on the SMF with field <21>N, Net Asset Value. The respective section 5.2.1.13 of the SMF user specs needs some textual amendments to cover not only ETFs and NLIs, but also Sponsored Funds. These amendments are included on the following page. The next version of the SMF user specs will contain the amendments.

The static data for the Sponsored Funds will be added to both, the test and production environments simultaneously on Wednesday, 27th February 2013. The final instruments list is attached to this message.

The test environment will be accessible during Thursday 28th February and Friday 1st March using the following parameters:

- IP address: 146.109.132.9 (9 = test environment)
- TCP port no.: 50nn5 (nn = one of your feed numbers)
- SMF feed ID: Fnn (nn = one of your feed numbers)

The test environment will show the static data as well as trading activity.

SIX Exfeed Ltd.
Help Desk / Customer Support
SMF user specs, section 5.2.1.13, field <21>N, Net Asset Value:

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<th>m/e</th>
<th>FORMAT / CONTENT</th>
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<td>v12</td>
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<td>for codes see section 6</td>
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<td>t or d</td>
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Comments:

General: Net asset values (NAV) apply only to Exchange-traded Funds (ETF). Non-Listed Investment Funds (NLJ) and sponsored instruments (see section 3.3).

ETF: Indicative NAVs in item #2 are available in real-time during trading hours and are always associated by a time stamp (never a date) in item #3. Fund volume in item #6 and the number of certificates in item #7 are available in real-time throughout the day.

NLJ: Official NAVs in item #3 are available at approx. 18:30 CET, however, multiple updates could occur during the day. They are always associated by a date (never a time stamp) in item #3. The peculiarities codes in item #5 may contain up to 3 codes in any sequence.

Sponsored Instruments: NAVs in item #2 are available once a day (however, multiple updates throughout the day may occur), associated by a date (never a time stamp) in item #3.

General: If both an NAV and an qNAV must be disseminated for a security 2 separate fields <21>N will always be sent (also in trade recap), i.e. an NAV and an qNAV will never be sent in the same field <21>N.

Example(s):

(c) NAV for a sponsored instrument

For more information, please contact Data Services Customer Support:

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