

SIX Swiss Exchange message no. 30/2013

Subject	Regulations for Sponsored Access (SMR3.1)	
Category Authorised by	Regulation Christoph Landis, Head Operations Rolf Broekhuizen, Head Business Engineering	
Pages Date	1 24.06.2013	Information 🚺

In the <u>SIX Swiss Exchange message no. 23/2013</u>, SIX Swiss Exchange provided participants detailed information about the new optional «Sponsored Access» arrangement for equity trading with SWXess Maintenance Release 3.1 (SMR3.1).

With this message we wish to inform you about the publication of the relevant SIX Swiss Exchange rules for «Sponsored Access» and to confirm the production go-live date of the new «Sponsored Access» arrangement.

Rule Changes

The following SIX Swiss Exchange rules have been introduced or updated with regard to «Sponsored Access» and are now available on the SIX Swiss Exchange website:

- SIX Swiss Exchange Rule Book (updated)
- Directives
 - Directive 3: Trading (updated)
 - Directive 6: Market Information (updated)
 - Directive 7: Fees and Costs (updated)
 - Directive 8: Sponsored Access (new)

Implementation Plan

«Sponsored Access» arrangement will be introduced into Production as follows:

Date	Activity
20 / 21 July 2013	Migration to SWXess Maintenance Release 3.1 in Production environment
22 July 2013	First trading day with Sponsored Access in Production environment

Participants in their capacity as Sponsoring Participants wishing to offer «Sponsored Access» to a client of theirs (Sponsored User) must submit the <u>Sponsored Access Application</u> and <u>Sponsored Access</u> <u>Configuration</u> forms to Member Services and once approved both parties can commence testing in the Membertest environment.

Please find further information on «Sponsored Access» in the <u>Service Description</u> brochure and in the <u>Member Section</u> of SIX Swiss Exchange. Any questions you might have concerning the "Sponsored Access" arrangement may be addressed to <u>sponsored access@six-swiss-exchange.com</u>.

If you have any questions, please do not hesitate to contact Member Services:

Telephone:+41 58 399 2473E-Mail:member.services@six-group.com