SIX Swiss Exchange message no. 30/2013

Subject: Regulations for Sponsored Access (SMR3.1)
Category: Regulation
Authorised by: Christoph Landis, Head Operations
Rolf Broekhuizen, Head Business Engineering
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In the SIX Swiss Exchange message no. 23/2013, SIX Swiss Exchange provided participants detailed information about the new optional “Sponsored Access” arrangement for equity trading with SWXess Maintenance Release 3.1 (SMR3.1).

With this message we wish to inform you about the publication of the relevant SIX Swiss Exchange rules for “Sponsored Access” and to confirm the production go-live date of the new “Sponsored Access” arrangement.

Rule Changes

The following SIX Swiss Exchange rules have been introduced or updated with regard to “Sponsored Access” and are now available on the SIX Swiss Exchange website:

- SIX Swiss Exchange Rule Book (updated)
- Directives
  - Directive 3: Trading (updated)
  - Directive 6: Market Information (updated)
  - Directive 7: Fees and Costs (updated)
  - Directive 8: Sponsored Access (new)

Implementation Plan

“Sponsored Access” arrangement will be introduced into Production as follows:

<table>
<thead>
<tr>
<th>Date</th>
<th>Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>20 / 21 July 2013</td>
<td>Migration to SWXess Maintenance Release 3.1 in Production environment</td>
</tr>
<tr>
<td>22 July 2013</td>
<td>First trading day with Sponsored Access in Production environment</td>
</tr>
</tbody>
</table>

Participants in their capacity as Sponsoring Participants wishing to offer “Sponsored Access” to a client of theirs (Sponsored User) must submit the Sponsored Access Application and Sponsored Access Configuration forms to Member Services and once approved both parties can commence testing in the Membertest environment.

Please find further information on “Sponsored Access” in the Service Description brochure and in the Member Section of SIX Swiss Exchange. Any questions you might have concerning the “Sponsored Access” arrangement may be addressed to sponsored_access@six-swiss-exchange.com.

If you have any questions, please do not hesitate to contact Member Services:

Telephone: +41 58 399 2473
E-Mail: member.services@six-group.com