



SIX Swiss Exchange message no. 01/2014

Subject	SWXess Maintenance Release 5 (SMR5)	
Category	SWXess Trading Platform	
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Pages	2	
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Content of this message:

- Participant Readiness publication for SMR5
 - o Functional Changes
 - Rules and Regulations
 - o Migration
 - o Testing
- Rollout Plan SMR5 for Membertest (13.01.2014) and Production (07.04.2014)

In <u>SIX Swiss Exchange message no. 50/2013</u>, SIX Swiss Exchange announced the SWXess Maintenance Release 5 (SMR5) as part of its ongoing improvement efforts and gave Participants an initial overview of the changes this release will involve.

This message provides Participants with detailed information on the business relevant changes in SMR5.

Participant Readiness

The SWXess Maintenance Release 5 (SMR5) will mainly introduce a new STI FIX Infrastructure, new On Order Book trading functionality as well as enhancements and optimisations in the areas of Reporting and Post-Processing.

The «SMR5 – Participant Readiness» brochure sent with this message contains all relevant and important information on the functional changes and regulatory impact of SMR5 as well as details about the migration and testing phase. In addition, the publication contains several links to other SMR5 relevant documents.

Regulatory Changes

Updates to the Rule Books, Directives and Guides will be communicated one month before the productive implementation of «SMR5» by means of a SIX Swiss Exchange message. In addition they will be published on the websites of SIX Swiss Exchange and SIX Structured Products Exchange.

Implementation Plan

Find details on the implementation of «SMR5» below:

Date	Environment
13 January 2014	First Trading Day with SMR5 in Membertest
7 April 2014	First Trading Day with SMR5 in Production
5 May 2014	Contingency Date for First Trading Day with SMR5 in Production

More information on the SWXess Maintenance Release 5 (SMR5) is available in the <u>Member Section</u> of SIX Swiss Exchange.

SIX Swiss Exchange is happy to be able to add value for its Participants with the implementation of SMR5 and the associated innovations and improvements.

If you have any questions, please do not hesitate to contact Member Services:

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