



SIX Swiss Exchange message no. 10/2014

SWXess Maintenance Release 5 (SMR5) - Date of introduction 7 April 2014 Subject

SWXess Trading Platform Category

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Pages

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Content of this message:

- Confirmation of introduction of SMR5 into production environment on 7 April 2014
- «Readiness Declaration» form to be returned by 2 April 2014
- Updated «Participant Readiness» brochure for SMR5

In SIX Swiss Exchange message no. 06/2014, SIX Swiss Exchange published the regulations for SWXess Maintenance Release 5 (SMR5).

This message provides participants with detailed information on the introduction of SMR5 into the production environment.

Introduction

SIX Swiss Exchange hereby confirms the introduction date announced for SMR5 into the production environment:

Date	Environment
5 and 6 April 2014	Migration to SMR5 in the production environment
7 April 2014	First trading day with SMR5 in the production environment

Until the successful introduction of SMR5 into the production environment has been completed, SIX Swiss Exchange will continue to reserve the contingency date of 5 May 2014.

Participants can continue to test the functional and technical changes in the Membertest environment until the productive rollout of SMR5. Please contact Member Services if you require assistance with testing.

We would like to remind participants that the «SMR5 Readiness Declaration» form must be returned to Member Services by no later than 2 April 2014.

Functional adjustments

The «SMR5 – Participant Readiness» brochure contains information on the functional adjustments and regulatory impact of SMR5. The changes from the last version of the document can be found in the document history on page 38 of this brochure.

Regulations

The regulations, directives and guides amended for SMR5 have been available since the beginning of March on the website of SIX Swiss Exchange and SIX Structured Products Exchange.

A detailed list of the amendments to the regulations and directives can be found in section 5 of the «<u>SMR5 – Participant Readiness</u>» brochure as well as via the following link to SIX Swiss Exchange's website: http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html

Participant configuration

Should you require additional configuration changes to their FIX Connections, STI Services or STI Confirmation Drop Copy for the introduction of SMR5, please complete and sign the form «PartyID and SenderCompID Configuration» and send it to Member Services (member.services@six-group.com) by no later than 31 March 2014.

More technical information on the SWXess Maintenance Release 5 can be found in the «<u>Release Notes</u>» in the <u>Member Section</u> of SIX Swiss Exchange.

We strive to develop and optimize our trading platform on an ongoing basis. Thanks to the innovations and improvements in SMR5, you will benefit from extremely high standards of quality, which will guarantee efficient trading.

If you have any questions, please do not hesitate to contact Member Services:

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