


# SIX Swiss Exchange message no. 34/2015

Subject	<b>SWXess Maintenance Release 5.1 – Regulations</b>	
Category	SWXess Trading Platform	
Authorised by	Adrian Isler, Head Market Operations Rolf Broekhuizen, Head Business Engineering	
Pages	2	
Date	28.09.2015	Information 

### Content of this message:

- Updated «Participant Readiness» brochure for SMR5.1
- Publication of Rules, Directives and Guides
- Rollout Plan SMR5.1 for Production scheduled for 26 October 2015

In [SIX Swiss Exchange message no. 29/2015](#), SIX Swiss Exchange informed Participants of the functional changes brought about by SWXess Maintenance Release 5.1 (SMR5.1).

This message provides information for Participants about the publication of the regulations for SMR5.1.

### Participant Readiness

The «[SMR5.1 – Participant Readiness](#)» brochure has been updated and contains additional information on the functional adjustments and regulatory impact of SMR5.1. The changes since the last version of the document can be found in the document history of this brochure.

### Regulatory Changes

The following Rules, Directives and Guides have been updated with respect to SMR5.1 and are now available on the [SIX Swiss Exchange](#) and [SIX Structured Products](#) websites:

- Rule Book
- Reporting Office Rules for the Fulfilment of the Legal Reporting Requirements for Securities Dealers
- Directives
  - Directive 3: Trading
  - Directive 7: Fees and Costs (SIX Structured Products Exchange AG only)
- Guides
  - Trading Guide

A detailed list of the adjustments to the rules and directives for SMR5.1 can be found in chapter 5 of the «[SMR5.1 – Participant Readiness](#)» brochure as well as under the following link on the website of SIX Swiss Exchange:

[http://www.six-swiss-exchange.com/participants/regulation/revisions/rule\\_book\\_en.html](http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html)

### Introduction

SIX Swiss Exchange plans to roll out SMR5.1 as follows:

Date	Environment
26 October 2015	First trading day with SMR5.1 in the <b>Production</b> environment

Please note that SIX Swiss Exchange has set 7 December 2015 as the contingency date for the productive rollout of SMR5.1.

Participants can continue to test the functional and technical changes in the Membertest environment until the productive rollout of SMR5.1. Please contact [Member Services](#) if you require assistance with testing.

More information on the SWXess Maintenance Release 5.1 is available in the [Member Section](#) of SIX Swiss Exchange.

SIX Swiss Exchange is happy to be able to add value for its Participants with the implementation of SMR5.1 and the associated innovations and improvements.

If you have any questions, please do not hesitate to contact Member Services:

Telephone: +41 58 399 2473  
E-mail: [member.services@six-group.com](mailto:member.services@six-group.com)