Subject: SWXess Maintenance Release 5.1 – Date of Introduction 26 October 2015

Category: SWXess Trading Platform

Authorised by: Adrian Isler, Head Market Operations
Rolf Broekhuizen, Head Business Engineering

Pages: 2

Date: 19.10.2015

Content of this message:

- Confirmation of introduction of SMR5.1 in the Production Environment on 26 October 2015
- Self-Match Prevention functionality will be delayed and not available in the Production environment until further notice
- Reminder: New domain name and the IP addresses of Member Section require mandatory configuration changes

In SIX Swiss Exchange message no. 34/2015, SIX Swiss Exchange published the regulations for SWXess Maintenance Release 5.1 (SMR5.1).

This message provides participants detailed information about the introduction of SMR5.1 in the Production environment.

Introduction

SIX Swiss Exchange hereby confirms the introduction date announced for SMR5.1 in the Production environment:

<table>
<thead>
<tr>
<th>Date</th>
<th>Environment</th>
</tr>
</thead>
<tbody>
<tr>
<td>24 and 25 October 2015</td>
<td>Migration to SMR5.1 in the Production environment</td>
</tr>
<tr>
<td>26 October 2015</td>
<td>First trading day with SMR5.1 in the Production environment</td>
</tr>
</tbody>
</table>

Please note that the Self-Match Prevention functionality will be delayed and not available in the Production environment with SMR5.1 until further notice.

The Self-Match Prevention functionality will remain active in the Membertest environment.

We will inform you of the new schedule to introduce the Self-Match Prevention functionality in due course.

Participant Readiness

Find information on the functional adjustments, regulatory changes as well as technical and migration details of SMR5.1 in the «SMR5.1 – Participant Readiness» brochure. The changes since the last version of the document can be found in the document history of this brochure.

Note that the new standard settlement cycle T+1 for Rights and Shareholder Options has an impact on the timeframe of post-processing. Cancellations in Rights and Shareholder Options can only be performed by SIX Swiss Exchange on the same business day as the trade (T) during Business Hours (06:00 until 22:00 CET). It is no longer possible to request Cancellations of trades in Rights and Shareholder Options on the business day following the trade (T+1).
Please be reminded that with SMR5.1, the domain name and the IP address of the Member Section will be changed. This change requires mandatory configuration changes for participants using automated scripts to upload files to or download files from the Member Section.

**Regulations**

The Rules, Directives and Guides updated for SMR5.1 have been available since end of September on the [SIX Swiss Exchange](http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html) and [SIX Structured Products](http://six-structured-products.six-group.com/) websites. A detailed list of the adjustments to the Rules and Directives for SMR5.1 can be found under the following link on the website of SIX Swiss Exchange:

Since the Self-Match Prevention functionality will not be available with SMR5.1 in the Production environment, the following changes apply to SIX Swiss Exchange [Directive 3: Trading](http://www.six-swiss-exchange.com/participants/trading/directives/directive_3_trading_en.html) effective from 26 October 2015 until further notice:

<table>
<thead>
<tr>
<th>Reference</th>
<th>Applicable Rule</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annex A: Blue Chip Shares - Clause 2 para. 3</td>
<td>Self-Match Prevention is not supported</td>
</tr>
<tr>
<td>Annex B: Mid-/Small-Cap Shares - Clause 2 para. 3</td>
<td>Self-Match Prevention is not supported</td>
</tr>
</tbody>
</table>

More information on the SWXess Maintenance Release 5.1 is available on the dedicated [SWXess Maintenance Releases](http://www.six-swiss-exchange.com/participants/technology/swxess-maintenance-releases) website as well as in the [Member Section](http://www.six-swiss-exchange.com/participants) of SIX Swiss Exchange.

We strive to develop and optimize our SWXess trading platform on an ongoing basis. Thanks to the innovations and improvements in SMR5.1, you will benefit from extremely high standards of quality, which will guarantee efficient trading and post-processing.

If you have any questions, please do not hesitate to contact Member Services:

| Telephone: | +41 58 399 2473 |
| E-mail:    | member.services@six-group.com |