

## SIX Swiss Exchange message no. 39/2015

Subject **Self-Match Prevention**  
 Category Trading and Products  
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 Pages 2  
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Information 

### Content of this message:

- Updated «SMR5.1 Participant Readiness» brochure for Self-Match Prevention
- Rollout of Self-Match Prevention for Production environment planned for 14 December 2015

In [SIX Swiss Exchange message no. 36/2015](#), SIX Swiss Exchange announced the introduction of SWXess Maintenance Release 5.1 (SMR5.1) without «Self-Match Prevention».

This message provides participants detailed information about the functionality as well as the rollout plan for «Self-Match Prevention».

### Self-Match Prevention Functionality

Detailed information about the «Self-Match Prevention» functionality as well as additional Self-Match Prevention scenarios are described in the updated «[SMR5.1 – Participant Readiness](#)» brochure.

The changes since the last version of the document can be found in the document history of this brochure.

### Introduction

SIX Swiss Exchange plans to introduce «Self-Match Prevention» as follows:

Date	Environment
23 November 2015	New release of Self-Match Prevention available for testing in the <b>Membertest</b> environment
14 December 2015	Introduction of Self-Match Prevention functionality in <b>Production</b> environment

Further key dates for the introduction of Self-Match Prevention are available in section 7 - Rollout of the «[SMR5.1 – Participant Readiness](#)» brochure.

### Configuration

Please note that when «Self-Match Prevention» is introduced for Blue Chip Shares and Mid-/Small-Cap Shares in the Production environment, all Party IDs which have «Self-Match Prevention» configured for the Membertest environment will automatically also have «Self-Match Prevention» enabled for the Production environment.

If you wish to test the «Self-Match Prevention» functionality in the Membertest environment but you do not wish to enable «Self-Match Prevention» on the go-live of the service in Production (14 December 2015), please contact Member Services ([member.services@six-group.com](mailto:member.services@six-group.com)). In order to test the «Self-Match Prevention» functionality in Membertest, you can

- configure an existing Party ID which is enabled for Membertest-only; or
- request a new Party ID for Membertest-only.

Please use the [PartyID and SenderCompID Configuration Form](#) for any configuration requests. Please note that any configuration changes to the Party ID setup must be requested by 9 December 2015 at the latest in order that SIX Swiss Exchange can guarantee the correct migration and configuration in the Production environment.

## Regulations

The [Directive 3: Trading](#) containing the rules of Self-Match Prevention is published on the website of SIX Swiss Exchange. With the introduction of «Self-Match Prevention» in the Production environment, the following rules will also enter into force:

Reference	Applicable Rule
Annex A: Blue Chip Shares - Clause 2 para. 3	Self-Match Prevention is supported
Annex B: Mid-/Small-Cap Shares - Clause 2 para. 3	Self-Match Prevention is supported

SIX Swiss Exchange is confident that the introduction of «Self-Match Prevention» will create genuine added value for our clients.

If you have any questions, please do not hesitate to contact Member Services:

Telephone: +41 58 399 2473  
E-Mail: [member.services@six-group.com](mailto:member.services@six-group.com)