SIX Swiss Exchange message no. 57/2017

Subject: Extension participants’ rights and obligations – Amendment Rule Book effective 1 January 2018

Category: Regulation

Authorized by: Rolf Broekhuizen, Head Business Engineering
Alain Picard, Head Product Management

Pages: 2

Date: 21.12.2017

Content of this message:
- Extension of the participants’ rights and obligations
- Amendment of Rule Book of SIX Swiss Exchange effective 1 January 2018

With this message SIX Swiss Exchange informs participants about the following amendments in the Rule Book of SIX Swiss Exchange effective 1 January 2018.

Like Swiss securities dealers, foreign participants on the stock exchange are obliged to meet the (equivalent) technical and operational requirements at all times and to inform the stock exchange immediately in the event of any related changes in circumstances.

In this relation the following amendments have been made to the SIX Swiss Exchange Rule Book:

<table>
<thead>
<tr>
<th>Document</th>
<th>Type of change</th>
<th>Reference</th>
<th>Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rule Book</td>
<td>New</td>
<td>Clause 4.3 para. 2</td>
<td>Appropriate organisation and registration obligations - Principle</td>
</tr>
<tr>
<td></td>
<td>New</td>
<td>Clause 4.6 para. 1 let. c</td>
<td>Duty to provide information</td>
</tr>
<tr>
<td>Amendment</td>
<td>Clause 18</td>
<td></td>
<td>Audit</td>
</tr>
<tr>
<td>Amendment</td>
<td>Clause 28 para. 14</td>
<td></td>
<td>Revision</td>
</tr>
</tbody>
</table>

The amended Rule Book will enter into force on 1 January 2018 and is now available for download on the Six Swiss Exchange website via the following link:


A detailed list of the revisions made to the rules of SIX Swiss Exchange can be found under the following link on the SIX Swiss Exchange website:

If you have any questions, please do not hesitate to contact Member Services:

Phone: +41 58 399 2473
E-mail: member.services@six-group.com

Links to SIX Swiss Exchange:

www.six-swiss-exchange.com | Member Section | Forms | Rules | Directives