



## SIX Swiss Exchange message no. 18/2018

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| Subject       | <b>EU's General Data Protection Regulation – Amendment Rule book effective as of 25 May 2018</b> |
| Category      | Regulation   |
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| Pages         | 2  |
| Date          | 16.05.2018   |

Information 

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### Content of this message:

- Publication of amended trading regulations in relation to the new EU General Data Protection Regulation
- Entry into force on 25 May 2018

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The EU's General Data Protection Regulation (Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC; hereinafter "GDPR") enters into force on 25 May 2018. The new rules have a direct impact on Swiss companies that operate in the EU or have staff who are resident in an EU Member State.

They serve exclusively to protect data on natural persons. Such data may only be processed with the prior consent of the person concerned, although Art. 6 GDPR provides for exceptions to this rule.

### **Amendment to the Rule Book**

Participants forward data on their staff or agents to SIX Exchange Regulation Ltd on the basis of legal and regulatory requirements. SIX Exchange Regulation Ltd processes these data. They may be used in investigations or sanction proceedings or forwarded to third parties, e.g. FINMA, criminal prosecution authorities or courts. The Regulatory Board has introduced a new duty to provide information in the Rule Book of SIX Swiss Exchange Ltd in order to ensure that the relevant information on the persons concerned is protected.

Participants must inform their staff and agents that (i) their data are forwarded to SIX Exchange Regulation Ltd, (ii) SIX Exchange Regulation Ltd processes these data, (iii) the data may be used in sanction proceedings, and (iv) they may be forwarded to third parties.

In addition, minor changes have been made to the wording of the provisions on confidentiality in the Rule Book.

The revised Rule Book will enter into force on 25 May 2018 and is now available for download on the SIX Swiss Exchange website via the following link:

[http://www.six-swiss-exchange.com/participants/regulation/rules\\_regs\\_en.html](http://www.six-swiss-exchange.com/participants/regulation/rules_regs_en.html)

A detailed list of the revisions made to the rules of SIX Swiss Exchange can be found under the following link on the SIX Swiss Exchange website:

[http://www.six-swiss-exchange.com/participants/regulation/revisions/rule\\_book\\_en.html](http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html)

If you have any questions, please do not hesitate to contact Member Services:

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