



SIX Swiss Exchange message no. 45/2018

Subject **Reporting Guide Version 3.02**

Category General Information

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Date 10.09.2018

Information 

Content of this message:

- Duty to report securities transactions
- Update Reporting Guide

SIX Swiss Exchange would like to inform the participants that as of 7 September 2018, a new version of the Reporting Guide is available under the following link:

Reporting Guide Version 3.02

http://www.six-swiss-exchange.com/participants/regulation/trading_guides_en.html

In particular, reference is made to specifications regarding beneficial owner reporting, e.g. new Beneficial Owner Type "M" for mixed group of juridical and natural persons (Reporting Guide Chapter 2.4.3).

Reporting obligation

The reporting obligation regarding beneficial owners of securities and derivatives transactions must be fulfilled by Swiss participants and securities dealers as of 1 October 2018.

If you have any questions, please do not hesitate to contact Member Services:

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