SIX Swiss Exchange message no. 46/2018

Subject: SWXess Maintenance Release 7.2 (SMR7.2) – Publication of trading regulations

Category: SWXess Trading Platform

Authorized by: Alain Picard, Head Trading Sales & Management
Adrian Isler, Head Market Operations

Pages: 2
Date: 19.09.2018

Content of this message:
- Updated "SMR7.2 – Participant Readiness" brochure published
- Publication of trading regulations for SMR7.2 effective from 15 October 2018
- Production go-live of SMR7.2 scheduled for 15 October 2018

In SIX Swiss Exchange message no. 39/2018, SIX Swiss Exchange informed Participants about the start of the Membertest phase of the optional SWXess Maintenance Release 7.2 (SMR7.2), which comprises expansions and optimizations in the field of trading in the order book and without pre-trade transparency, as well as in the bilateral, off-exchange trading of structured products. In addition the groundwork for the replacement of the MDI market data interface by the SIX MDDX Multi-Dimensional Data fluX™ (SIX MDDX) interface for participants will be laid.

This message informs participants about the publication of the trading regulations for SMR7.2.

Participant readiness

The updated "SMR7.2 – Participant Readiness" brochure published with this message now also contains detailed information on the regulatory changes of SMR7.2. The changes since the last version of the document can be found in the document history of this brochure.

More information on the SWXess Maintenance Release 7.2 can be found on the SWXess Maintenance Release (SMR) web page and in the Member Section of SIX Swiss Exchange.

Regulations

The following Rules, Directives and Guidelines are affected by the changes introduced with SMR7.2 and have been amended accordingly:

- Rules of SIX Swiss Exchange AG
  o Rule Book
- Directives of SIX Swiss Exchange AG
  o Directive 2: Technical Connectivity
  o Directive 3: Trading
  o Directive 5: Trading without pre-trade transparency
- Guidelines of SIX Swiss Exchange AG
  o Trading Parameters
  o List of Trading Charges
The amended trading regulations for SMR7.2 enter into force on 15 October 2018 and are now published under the following links on the SIX Swiss Exchange website:

<table>
<thead>
<tr>
<th>Category</th>
<th>Link</th>
</tr>
</thead>
</table>

A detailed list of the adjustments to the Rules, Directives and Guidelines for SMR7.2 can be found in chapter 5 of the “SMR7.2 – Participant Readiness” brochure or under the following link on the SIX Swiss Exchange website:

**Rollout**

The rollout of SMR7.2 in the Production environment is planned for 15 October 2018. SIX Swiss Exchange will confirm the Production go-live of SMR7.2 by 11 October 2018.

We enhance and optimize the SWXess trading platform on an ongoing basis. As a result of the improvements in SMR7.2, you will be able to benefit from very high standards of quality, which guarantee efficient trading.

If you have any questions, please do not hesitate to contact Member Services:

**Phone:** +41 58 399 2473

**E-mail:** member.services@six-group.com

Links to SIX Swiss Exchange:

www.six-group.com | Member Section | Forms | Rules | Directives