



## SIX Swiss Exchange message no. 57/2018

Subject               **Transaction Reporting via ABIDE and Trax**

Category              Trading and Products

Authorized by        Ian Cornwall, Head Market Structure  
                            Lutz Meyer, Senior Specialist Market Structure

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Information 

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### Content of this message:

- SIX Swiss Exchange extends the choice of ARM reporting venues for transaction reporting for remote participants from 3 December 2018.

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SIX Swiss Exchange is extending the number of ARMs which can support transaction reporting by remote participants for securities admitted to trading on SIX Swiss Exchange. Abide Financial DRSP Limited (Abide ) and Xtrakter Limited (Trax) will be added to the list of Recognised Foreign Disclosure Offices which will facilitate participant choice whilst continuing to allow them to fulfil their reporting obligations under the Swiss Financial Market Infrastructure Act (FMIA).

The FMIA extended the reporting obligations for securities dealers requiring a revised service solution to be developed. The data the ARMs will provide to the Reporting Office of SIX Swiss Exchange will enable remote participants to fulfil their reporting obligations in securities admitted to trading on SIX Swiss Exchange.

Furthermore it minimizes the need to duplicate reporting across jurisdictions and, by using consistent data formats, creates process efficiencies.

The service is scheduled to go live on 3 December 2018 for Abide and for Trax.

- To make use of this service participants will need to enter into a consent agreement with their chosen ARM to enable the data to be processed and forwarded to us. The ARM will conduct all the necessary onboarding processes.
- The tariff applicable to activity processed from the ARMs will be the standard tariff for transaction reporting to the SIX Swiss Exchange Reporting Office.
- The EU RTS 22 data schema , used by the ARMs, is applicable for this service. This means that participants can fulfil multiple reporting obligations, where appropriate, via a single reporting input to the ARM. The ARMs will automate the process to determine the scope of the activity to be reported to SIX Swiss Exchange which will encompass all relevant securities which are currently held within the ARM data universe:
  - All relevant data for securities designated as Swiss instruments as determined by ISIN;
  - All relevant data for securities designated as foreign instruments as determined by ISIN and MIC code;
  - All relevant data for derivatives whose underlying instruments are securities admitted to trading on SIX Swiss Exchange which are subject to a reporting duty without regard to the 25% weighting.

Participants who wish to use this service must:

- Inform SIX Swiss Exchange of their intention and supply the LEI to be used in such reports. Please use the following link to provide it:  
[Submit Legal Entity Identifier \(LEI\) for Reporting](#)
- Contact the ARM directly in the first instance to commence the onboarding process.

The full list of recognised ARMs by SIX Swiss Exchange can be found on our Website:

- [Foreign Disclosure Offices](#)

If you have any questions, please do not hesitate to contact Member Services:

**Phone:** +41 58 399 2473

**E-mail:** [member.services@six-group.com](mailto:member.services@six-group.com)

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