

# SIX Swiss Exchange message no. 16/2020

Subject	SWXess Maintenance Release SMR8.2 (SMR8.2) – Publication of trading regulations	
Category	Regulation	
Authorized by	Alain Picard, Head Trading Sales & Management Rebecca Stasolla, Head Business Roll Out	
Pages	2	
Date	19.05.2020	Information

Content of this message:

- Updated "SMR8.2 Participant Readiness" brochure published
- Publication of trading regulations for SMR8.2 effective from 22 June 2020
- Publication of the Reporting Office Rules for SMR8.2 effective from 22 June 2020
- SMR8.2 rollout to the Production environment is scheduled for 22 June 2020

In <u>SIX Swiss Exchange message 10/2020</u>, SIX informed participants about the start of the Membertest Phase of the SWXess Maintenance Release 8.2 (SMR8.2), which will introduce enhancements and optimizations for on-exchange trading.

This message informs participants about the publication of the trading regulations for SMR8.2.

#### **Participant Readiness**

The **updated** "<u>SMR8.2 – Participant Readiness</u>" brochure published with this message now also contains detailed information on the regulatory changes of SMR8.2. The changes since the last version of the document can be found in the document history of this brochure.

More information on the SWXess Maintenance Release 8.2 (SMR8.2) can be found on the <u>SWXess Maintenance</u> <u>Release (SMR)</u> web page and in the <u>Member Section</u> of SIX.

### **Trading Regulations**

The following Rules, Directives and Guidelines are affected by changes introduced with SMR8 and have been amended accordingly:

- Rules of SIX Swiss Exchange Ltd
  - Trading Rules
  - Reporting Office Rules
- Directives of SIX Swiss Exchange Ltd
  - Directive 1: Admission of participants
  - Directive 3: Trading
  - Directive 5: Alternative Trading
  - Directive 7: Sponsored Access

SIX Swiss Exchange Ltd Pfingstweidstrasse 110 P. O. Box CH-8021 Zurich T + 41 58 399 5454 <u>www.six-group.com</u>

- **Guidelines** of SIX Swiss Exchange Ltd
  - List of Charges under the Trading Rules
  - List of Charges under the Reporting Rules
  - List of Charges under the Listing Rules
  - "Trading Parameters" Guideline

The amended trading regulations for SMR8.2 enter into force on 22 June 2020 and are now published under the following links on the SIX Swiss Exchange Ltd website:

Rules	https://www.six-group.com/exchanges/participants/regulation/rules_regs_en.html
Directives	https://www.six-group.com/exchanges/participants/regulation/directives_en.html
Guidelines	https://www.six-group.com/exchanges/participants/regulation/guidelines_en.html

A detailed list of the amendments to the Rules, Directives and Guidelines for SMR8.2 can be found in chapter 5 of the "<u>SMR8.2 – Participant Readiness</u>" brochure or under the following link on the SIX Swiss Exchange Ltd. Website: <u>https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html</u>

## Testing

SMR8.2 is available for testing in the Membertest environment since 27 April 2020. During this phase, all participants will be able to test their business-relevant processes, functionalities and applications.

## Rollout

The rollout of SMR8.2 in the Production environment is planned for **22 June 2020**. SIX will confirm the Production golive of SMR8.2 by 12 June 2020 via a SIX Swiss Exchange message.

SIX continuously strives to expand and improve its range of services and products, as well as its SWXess trading platform. Our participants can benefit from the improvements of SMR8.2, which will ensure continued efficiency in trading on the exchange.

If you have any questions, please do not hesitate to contact Member Services:

**Telephone:** +41 58 399 2473

E-mail: <u>member.services@six-group.com</u>

Links to SIX Swiss Exchange:

www.six-group.com | Member Section | Forms | Rules | Directives