



SIX Swiss Exchange message no. 41/2020

Subject	Reduced Reporting Fees for Transaction Reports – Amendment of List of Charges under the Reporting Rules
Category	Regulation
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Pages	2
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Information 

Content of this message:

- Reduced reporting fees for Transaction Reports retroactive as of 1 January 2020
- Amended List of Charges under the Reporting Rules to come into force on 1 January 2021

The Swiss Stock Exchange is delighted to inform participants about the further reduction of the reporting fees for Transaction Reports retroactive as of 1 January 2020.

Reporting fees for Transaction Reports

The following reduced reporting fees for Transaction Reports will be applicable retroactively as of 1 January 2020:

<u>Current reporting fees for Transaction Reports</u>			<u>New reporting fees for Transaction Reports</u>		
No. of Transaction Reports from	No. of Transaction Reports to	Flat-rate annual fee in CHF	No. of Transaction Reports from	No. of Transaction Reports to	Flat-rate annual fee in CHF
1	1,000	200	1	54,540	0
1,001	2,550	500			
2,551	4,300	1,000			
4,301	6,700	1,500			
6,701	10,850	2,000			
10,851	18,190	2,500			
18,191	33,550	3,000			
33,551	54,540	3,500			
54,541	78,050	4,000			
78,051	113,420	4,500			
113,421	183,300	5,000			
183,301	362,000	7,500			
362,001	634,400	10,000			
634,401	1,132,200	15,000			
1,132,201	1,990,000	20,000			
1,990,001	3,047,000	25,000			
3,047,001	4,885,000	30,000			
			54,541	78,050	500
			78,051	113,420	750
			113,421	183,300	1,000
			183,301	362,000	1,250
			362,001	634,400	2,500
			634,401	1,132,200	5,000
			1,132,201	1,990,000	7,500
			1,990,001	3,047,000	12,500
			3,047,001	4,885,000	20,000

Current reporting fees for Transaction Reports			New reporting fees for Transaction Reports		
No. of Transaction Reports from	No. of Transaction Reports to	Flat-rate annual fee in CHF	No. of Transaction Reports from	No. of Transaction Reports to	Flat-rate annual fee in CHF
4,885,001	8,440,000	40,000	4,885,001	8,440,000	30,000
8,440,001	13,800,000	50,000	8,440,001	13,800,000	40,000
13,800,001	and more	60,000	13,800,001	and more	50,000

The billing for Transaction Reports for the entire year 2020 will be executed at the beginning of January 2021 and carried out based on the new rate bands. Participants will receive their invoice for Transaction Reports as usual by post or e-mail. In addition, the invoice will be published in the password-protected private Member Section: https://secure.six-swiss-exchange.com/member_section/invoices/invoices.html

For the sake of clarity, participants still receive a monthly report containing an overview of all submitted Transaction Reports liable to charges. SIX Swiss Exchange will provide the monthly reports on the password-protected private Member Section at: https://secure.six-swiss-exchange.com/member_section/business_reports.html

Annual fee for parties subject to the duty to report

As of **1 January 2020**, SIX Swiss Exchange introduced the annual fee for parties subject to the duty to report (see [SIX Swiss Exchange message No. 54/2019](#)). The invoice for the annual fee for parties subject to the duty to report will be issued for the first time in January 2021 in addition to the reporting fees for Transaction Reports 2020.

Existing trading members will not be required to pay the annual fee for parties subject to the duty to report if they have already paid the participant annual fee described in Clause 3.3 para. 1 of the [List of Charges under the Trading Rules](#) of SIX Swiss Exchange Ltd.

List of Charges under the Reporting Rules

The List of Charges under the Reporting Rules of SIX Swiss Exchange Ltd has been amended to include the reduced reporting fees for Transaction Reports. The revised List of Charges under the Reporting Rules enters into force on 1 January 2021 and can be downloaded from the SIX Swiss Exchange Ltd website at: <https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/trading-provisions/regulation.html#scrollTo=guidelines>

You will find a detailed list of the revisions made to the guidelines of SIX Swiss Exchange Ltd via the following link on the SIX Exchange Regulation Ltd website: <https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html>

The Swiss Stock Exchange strives to constantly adapt the fee models to reflect market requirements.

If you have any questions, please do not hesitate to contact Member Services:

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Links to SIX Swiss Exchange:

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