

SIX Swiss Exchange message no. 35/2021

Subject SWXess Maintenance Release 10 (SMR10) - Introduction in the Production

Environment on 6 December 2021

SWXess Trading Platform Category

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Pages

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Information i



Content of this message:

- Confirmation of introduction of SMR10 in the Production environment on 6 December 2021
- Publication Reporting Guide of SIX Swiss Exchange AG valid as of 6 December 2021

In SIX Swiss Exchange message no. 32/2021, the Swiss Stock Exchange published the trading regulations for the SWXess Maintenance Release 10 (SMR10). This release primarily serves to introduce the "SwissAtMid Block Order" type as well as enhancements to the "Quote on Demand" trading service. Furthermore, SMR10 introduces additional optimizations for the SWXess trading platform.

This message provides information for participants about the introduction of SMR10 in the Production environment.

Introduction in the Production Environment

SIX Swiss Exchange AG hereby confirms the announced SMR10 introduction date in the Production environment as follows:

Date	Activity
4 and 5 December 2021	Migration to SMR10 in the Production environment
6 December 2021	First trading day with SMR10 in the Production environment

Participant Readiness

Please find information about the functional adjustments, regulatory changes as well as details on the technical migration of SMR10 in the brochure "SMR10 - Release Guide".

Trading Regulations

The updated Rules, Directives and Guidelines for SMR10 have been available since 8 November 2021 on the SIX Swiss Exchange AG website: https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/tradingprovisions/regulation.html

A detailed list of the amendments to the Rules, Directives and Guidelines for SMR10 can be found in chapter 6 of the "SMR10 - Release Guide" brochure or under the following link on the SIX Exchange Regulation AG website: https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html

Reporting Guide of SIX Swiss Exchange AG

Version 5.2 of the Reporting Guide of SIX Swiss Exchange AG is extended with examples on how to correctly report transactions in derivatives subject to the reporting obligation. The Reporting Guide will be adjusted as of 6 December 2021 and is available on the SIX Swiss Exchange AG website: https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/trading-provisions/regulation.html#scrollTo=reporting-guide

SIX Swiss Exchange continuously strives to expand and improve its range of services and products, as well as its SWXess trading platform. Our participants can benefit from the improvements of SMR10, which will ensure continued efficiency in trading on the exchange.

If you have any questions, please do not hesitate to contact Member Services:

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Links to SIX Swiss Exchange AG:

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