

SIX Swiss Exchange message no. 08/2022

Subject	SWXess Maintenance Release SMR10.1 (SMR10.1) – Publication regulations with entry into force on June 1, 2022	of trading
Category	SWXess Trading Platform	
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Pages	2	
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Content of this message:

- Updated "SMR10.1 Release Guide" brochure published
- Publication of trading regulations for SMR10.1 effective from June 1, 2022
- Rollout of SMR10.1 in the Production environment planned for May 23, 2022

In <u>SIX Swiss Exchange message no. 06/2022</u>, the Swiss Stock Exchange informed participants about the start of the Membertest phase for the SWXess Maintenance Release 10.1 (SMR10.1).

This message informs participants about the publication of the trading regulations for SMR10.1 with entry into force on June 1, 2022.

Release Guide

The **updated** "<u>SMR10.1 – Release Guide</u>" brochure published with this message now also contains detailed information on the regulatory changes of SMR10.1. The changes since the last version of the document can be found in the document history of this brochure.

More information on the SWXess Maintenance Release 10.1 (SMR10.1) can be found on the <u>SWXess Maintenance</u> <u>Release (SMR)</u> web page and in the <u>Member Section</u> of SIX Swiss Exchange AG.

Trading Regulations

The following Guidelines are affected by changes introduced with SMR10.1 and have been amended accordingly:

- Guidelines of SIX Swiss Exchange AG
 - List of Charges under the Listing Rules
 - Trading Parameters Guideline

The amended trading regulations for SMR10.1 enter into force on June 1, 2022 and are now published under the following link on the SIX Swiss Exchange AG website:

https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/tradingprovisions/regulation.html

A detailed list of the amendments to the Guidelines for SMR10.1 can be found in chapter 6 of the "<u>SMR10.1 - Release</u> <u>Guide</u>" brochure or under the following link: <u>https://www.ser-ag.com/en/resources/laws-regulations-</u> <u>determinations/archive.html</u>

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Testing

The Membertest phase for SMR10.1 began as scheduled on April 11, 2022. Since then, all participants have been able to test their business-relevant processes, functionalities and applications.

Rollout

The rollout of SMR10.1 in the Production environment is planned for May 23, 2022. The Swiss Stock Exchange will confirm the Production go-live of SMR10.1 by May 13, 2022 via a SIX Swiss Exchange AG message.

The Swiss Stock Exchange continuously strives to expand and optimize its range of services and products, as well as its SWXess trading platform. Our participants can benefit from the improvements of SMR10.1, which will ensure continued efficiency in trading on the exchange.

If you have any questions, please do not hesitate to contact Member Services:

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 Links to SIX Swiss Exchange AG:

 www.six-group.com | Member Section | Forms | Rules | Directives