




SIX Swiss Exchange message no. 07/2023

Subject	SWXess Maintenance Release 11 (SMR11) — Publication of trading regulations with entry into force on 15 May 2023
Category	SWXess Trading Platform
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Information 

Content of this message:

- Publication of the updated “SMR11 — Release Guide” brochure
- Publication of trading regulations for SMR11 effective from 15 May 2023
- Introduction of SMR11 in the Production environment planned for 15 May 2023

In [SIX Swiss Exchange message no. 04/2023](#), the Swiss Stock Exchange informed participants about the start of the Membertest phase 2.2 for the SWXess Maintenance Release 11 (SMR11).

SIX Swiss Exchange AG informs its participants about the publication of the trading regulations for SWXess Maintenance Release 11 (SMR11) with entry into force on 15 May 2023.

Release Guide

The **updated** version of the “[SMR11 — Release Guide](#)” brochure now also contains detailed information on the regulatory changes of SMR11. Changes since the last version of the document can be found in the document history of the brochure.

More information on the SWXess Maintenance Release 11 (SMR11) can be found on the [SWXess Maintenance Release \(SMR\)](#) web page and in the [Member Section](#) of SIX Swiss Exchange AG.

Trading Regulations

The following Rules, Directives and Guidelines are affected by the changes introduced with SMR11 and have been amended accordingly:

- **Rules** of SIX Swiss Exchange AG
 - Trading Rules
 - Reporting Office Rules
- **Directives** of SIX Swiss Exchange AG
 - Directive 2: Technical Connectivity
 - Directive 3: Trading
 - Directive 5: Alternative Trading
- **Guidelines** of SIX Swiss Exchange AG
 - List of Charges under the Trading Rules
 - Trading Parameters Guideline

The revised provisions will enter into force on 15 May 2023 and are available at the following link:

<https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/trading-provisions/regulation.html>

A detailed list of the amendments to the regulations of SIX Swiss Exchange AG can be found in Chapter 6 of the “SMR11 — Release Guide” or under the following link: <https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html>

Member test phase

The Member test phase for SMR11 began on schedule on 31 October 2022, and the enhancements were introduced in the Member test environment on 6 March 2023 and 3 April 2023, as announced. Since then, all participants have been able to test all business-relevant processes, functionalities and applications.

Introduction

The introduction of SMR11 in the Production environment is planned for 15 May 2023. The introduction will be confirmed with a SIX Swiss Exchange message by 8 May 2023.

The Swiss Stock Exchange continuously strives to expand and optimize its range of services and products, as well as its SWXess trading platform. Our participants can benefit from the improvements of SMR11, which will ensure continued efficiency in trading on the exchange.

If you have any questions, please do not hesitate to contact Member Services:

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Links to SIX Swiss Exchange AG:

www.six-group.com | [Member Section](#) | [Forms](#) | [Rules](#) | [Directives](#)