

## Business update

# SIX Telekurs kicks off “Regulatory Roadshow” series in New York and Toronto

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**Stamford, CT/USA:** SIX Telekurs kicked off a series of 18 events with successful meetings in New York and Toronto on September 22nd and 24th, respectively.

With presentations from a variety of industry leaders, these events provide a neutral forum for discussion, and are intended to help institutions stay abreast of the current global regulatory environment and to prepare for compliance challenges to come.

Guests from all segments of the financial services industry gathered to hear industry experts talk about existing regulations and about how the global regulatory landscape is evolving in the shadow of the current global crisis.

One featured speaker at the New York City event was former Commissioner of the U.S. Securities and Exchange Commission, Paul S. Atkins, who summed up the current US regulatory situation when he said “Regulatory bodies in the US and abroad are more focused than ever at improving the transparency surrounding the entire financial industry. This event helps present the critical issues for the investment community in a clear and concise manner.” Mr. Atkins is presently a Managing Director with Patomak Partners LLC, a Washington, DC based advisory firm that provides regulatory compliance consulting services to investment managers such as private equity firms, hedge funds, and mutual funds.

In addition to Mr. Atkins, several additional thought leaders made significant contributions to the US and Canadian events:

- ❑ Perry H. Beaumont, PhD, who is President of CSV Incorporated, which provides evaluated pricing services for illiquid securities – especially those with complex structures.
- ❑ John Chambers, who is a Director of Operations Engineering at RBC Capital Markets & Securities Operations in Toronto, where he leverages more than 15 years experience in capital markets trading as he focuses on risk management and compliance application development.
- ❑ Mitchel Kraskin, who serves as Managing Director of Compliance Science, Inc., a regulatory technology firm of which he is a co-founder and principal.

- ❑ Ralph F. Mittl is a Managing Director with Patomak Partners LLC, where clients benefit from his 15+ years experience in compliance and regulatory issues, including his experience as the Global Chief Compliance Officer of The Carlyle Group.
- ❑ Richard Newbury, who is a Market Development Manager with SIX Telekurs where he is able to use his industry expertise to lead SIX Telekurs' involvement in a wide range of industry initiatives such as MiFID and EUSD. His current focus is on developing global strategies for products and data as part of the Global Business Development team.
- ❑ Dushyant Shahrawat, CFA is a Senior Research Director, Investment Management in TowerGroup's Securities and Investments practice, where he researches strategic issues facing asset managers, hedge funds and brokerage firms globally, advising them on strategy, marketing, regulation, technology and product development.

The rich diversity of disciplines and perspectives provided by the speakers – who together have amassed a collective total of more than 130 years experience in the financial services industry - combined to bring an unparalleled knowledge base to the Regulatory Roadshow participants at these kickoff events. One New York guest summarized his experience by commenting that the event was "...a real opportunity to expand my knowledge around regulation, as well as connect to colleagues".

Additional Regulatory Roadshow events are planned in Europe, the UK and Ireland. Please visit [www.six-telekurs-roadshow.com](http://www.six-telekurs-roadshow.com) for information about upcoming dates, locations and agendas.

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**SIX Telekurs**

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