



# SIS Web Services (SWS)

The gateway to SIX SIS's custody services

SIS Web Services (SWS) is a web-based user interface, which meets the highest of security standards. SIX SIS participants can use SWS to execute all of their securities transactions with securities-related services and payment transactions.

With SWS, you also have access to a wide range of services, including Corporate Action Enhanced Services (CAES), Global Fund Services (GFS) and Separate Safekeeping (EVA). Needless to say, this solution enables you to work in real time. SIX SIS confirms the orders you issue immediately with status intimations. Furthermore, you can make queries directly in the SECOM database at any time. In contrast to webMAX Professional, participants connect to SWS via the Internet.

#### **Access to wide range of SIX SIS services**

SIS Web Services offers access to a wide range of SIX SIS services. Its comprehensive and efficient functionalities ensure that all client needs are met when it comes to securities transactions and administration.

You can access the following services via SIS Web Services:

- ✓ Settlement
- ✓ Corporate Actions Enhanced Services (CAES)
- ✓ Global Funds Services (GFS)
- ✓ Separate Safekeeping (EVA)
- ✓ Payment Transactions
- ✓ Clearing
- ✓ webBox (Reporting and Document Administration)
- ✓ SecLend
- ✓ Securities Lending & Borrowing (SLB)
- ✓ Triparty Collateral Management (TCM)
- ✓ Standard Tax Services (Tax Portal)
- ✓ Advanced Tax Services (ATS Portal)
- ✓ General Meeting Shareholder Rights Directive II (GM SRD Portal)
- ✓ SIC/euroSIC
- ✓ Order and pending item management (ISIS direct)
- ✓ SECOM reports

Your instructions and queries are executed in real time to ensure your securities transactions can be settled efficiently and quickly. A Transport Layer Security (TLS) connection guarantees end-to-end security.

### **High level of customization**

SIS Web Services is structured in line with clients' needs. Clients select each service as and when required. The functions displayed to the user under SIS Web Services reflect the services the user has subscribed to. The user administration integrated in the tool allows clients to simply assign their users individual roles and access rights. They determine who has access to what and which functionalities are available to each user.

### **Simple access without chargeable hardware or software**

SIS Web Services only requires an Internet connection, meaning the SWS services can be accessed in no time at all. It does not require any additional hardware components or IT infrastructure. The access to SWS is made via two-factor authentication or through the Identity Federation solution available to clients. Updates take place in the background and do not require any changes to be made by clients, meaning they do not have to take any action to maintain the platform's operation.

### **Benefits of SIS Web Services:**

- Easy to use like e-banking
- Real-time processing of orders and queries
- The only technical requirement is an Internet connection
- Offers access to SECOM, SIC and euroSIC
- Order and query SECOM reports
- Offers access to multiple services such as CAES, GFS, EVA etc.
- A cost-effective solution to meet client needs

---

Please contact: [www.six-group.com/contact](http://www.six-group.com/contact)

#### **SIX Securities Services**

Hardturmstrasse 201  
P. O. Box  
CH-8021 Zurich

T +41 58 399 2111  
[info@six-group.com](mailto:info@six-group.com)  
[www.six-group.com](http://www.six-group.com)

None of the information contained herein constitutes an offer or a recommendation to buy or sell or take any other action regarding financial instruments. SIX Group Ltd or its direct and indirect subsidiaries (hereafter: SIX) are liable neither for the completeness, accuracy, currentness and continuous availability of the information given, nor for any loss incurred as a result of action taken on the basis of information provided in this or any other SIX publication. SIX expressly reserves the right to alter prices or composition of products or services at any time. © SIX Group AG, 2021. All rights reserved.