



SIX SIS AG

List of Charges

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1 Purpose, scope and list of fees

1.1 Purpose

According to Clause 14 of the Terms and Conditions for SIX Digital Asset Platform, fees are charged to the participants of SIX Digital Asset Platform for issuance of digital native securities, tokenization of non-native securities, settlement for off-exchange transactions, safekeeping and custody of assets, asset servicing, communication, hosting services and other miscellaneous services.

Information regarding types of participants, members and service providers at SIX Digital Asset Platform can be found in the respective Market Guide.

Native securities mean securities that are issued in SIX Digital Asset Platform in tokenized form.

Non-native securities mean securities that are issued in non tokenized form in a central securities depository with which SIX SIS AG has a direct or indirect participation (Investor CSD).

1.2 Scope

The List of Charges for SIX Digital Asset Platform applies to all participants and persons who have submitted themselves to the rules of SIX Digital Asset Platform.

The pricing framework is based on the following rules of:

- Transparency
- Equal treatment of participants
- Non-discrimination of participants

Participants who make themselves available as pilot banks for new services may benefit from special rates on a case-by-case basis during a clearly defined period of time.

Fees may be wholly or partly waived.

1.3 List of fees

The List of Charges refers to the fees for the following services:

- Issuance of native securities tokens and tokenization of non-native securities
- Settlement of transactions
- Safekeeping and custody
- Asset servicing
- Communication services
- Hosting services
- Miscellaneous services

1.4 Price details

The fees are usually set in Swiss franc (CHF), basis points on the financial value of transactions or holdings per annum (bps p.a.), per month (bps p.m.), or per transaction. All fees are quoted exclusive of value-added tax (VAT), unless stated otherwise. One basis point (1 bp) equals 0.01% or 0.0001.

2 Issuance of native securities and tokenization of non-native securities

2.1 Native assets for on-exchange listing

The fees charged for the issuance of tokenized securities, which will be listed on SIX Swiss Exchange AG or any other regulated exchange, are given in the following table.

| Asset Class | Fixed Fee (CHF) | Variable (Bps) | Cap (CHF) |
|-------------|-----------------|----------------|-----------|
| Equities | 250 | - | - |
| Bonds | 12 | 0.45 | 50,000 |

2.2 Non-listed native assets

The issuance of tokenized securities is charged with a fee which consists of a fixed and a variable fee. The variable fee is calculated based on the nominal value or capitalization, depending on the issued asset class, and depending on the asset class it may have a set maximum (i.e. cap).

The variable fee is charged for every mark-up over the lifecycle of the issued asset, while the fixed fee is only charged at the issuance.

| Asset Class | Fixed Fee (CHF) | Variable (Bps) | Cap (CHF) |
|---------------------|-----------------|----------------------------|-----------|
| Equities | 250 | 1.0 | 2,250 |
| Depository Receipts | 250 | As per below pricing model | |
| Debt* | 250 | - | - |

The variable fee for the Depository Receipts is the following:

*Debt asset class includes non-listed bonds and other short-term debt financing in-

| Tier | Range (CHF) | Range (CHF) |
|------|--------------------------|-------------|
| 1 | 0 – 1,500,000 | 2.50 |
| 2 | 1,500,001 – 10,000,000 | 2.00 |
| 3 | 10,000,001 – 100,000,000 | 1.50 |
| 4 | Above 100,000,001 | 1.00 |

struments.

2.3 Non-native assets for on-exchange listing

The tokenization of non-native securities is not charged.

2.4 Non-listed non-native assets

The tokenization of non-native securities is not charged.

3 Settlement transactions

3.1 Bilateral settlement transactions

A flat fee of CHF 0.55 per settlement instruction is levied to the participants. The fee is charged upon acceptance of the settlement instruction.

Types of settlement include the delivery versus payment (i.e. DvP), delivery free of payment (i.e. DfP), payment only (i.e. PMO) within (i.e. intra) node or across (i.e. inter) nodes, respectively.

No additional fee is charged for cancelling a settlement instruction.

3.2 Settlement reversals

In case of settlement reversals carried out by SIX Digital Asset Platform, the fee will be calculated based on the associated costs incurred during the process.

4 Safekeeping and custody

A variable fee (bps) per annum based on the market value of the average holdings is levied to the participant.

The fee covers basic asset servicing related to the safekeeping of holdings, i.e. the administration of securities data, mandatory corporate actions, as well as communication services.

4.1 Native assets

For listed Equities, the market value of the holdings is calculated based on the last traded / available price.

For non-listed Equities, the basis for calculating the value of the securities is the price of the issuance day.

For listed Bonds and other debt instruments, the value of the holdings is calculated

based on the nominal amount of the instrument.

For Depositary Receipts the value of the holdings is calculated based on the market value of the holdings considering the reference prices provided to SIX SIS AG by the issuer agent throughout the lifecycle of the Depositary Receipts.

For Equities the following fee applies:

| Asset Class | Fee p.a. (bps) |
|-------------|----------------|
| Equities | 0.40 |

For Depositary Receipts the following pricing model applies:

| Scale | Range (CHF) | Fee p.a. (bps) |
|-------|-------------------------|----------------|
| 1 | 0 – 25,000,000 | 2.00 |
| 2 | 25,000,001 – 75,000,000 | 1.50 |
| 3 | Above 75,000,001 | 1.00 |

For Bonds and other debt instruments the following pricing model applies:

| Scale | Range (CHF) | Fee p.a. (bps) |
|-------|---------------------------------|----------------|
| 1 | 0 – 9,000,000,000 | 0.31 |
| 2 | 9,000,000,001 – 16,000,000,000 | 0.26 |
| 3 | 16,000,000,001 – 30,000,000,000 | 0.21 |
| 4 | Above 30,000,000,001 | 0.17 |

4.2 Non-native assets

For Bonds the following pricing model applies:

| Asset Class | Issuer CSD | Fee p.a. (bps) |
|-------------|------------|----------------|
| Bonds | SIX SIS AG | 0.45 |
| Bonds | Other | 0.75 |

5 Asset services / Corporate actions

The execution of certain corporate actions for securities held within the SIX Digital Asset Platform is included in the safekeeping fee.

Other corporate actions may be charged with a transaction-based fee applicable per corporate action, as appropriate.

5.1 Depositary Receipts services

5.1.1 Primary Entry

The primary entry into a newly issued Depositary Receipt is charged with a fee which consists of a fixed and variable fee. The fee is charged to the receiving custodian for the Depositary Receipt.

The fixed fee is only charged at the issuance, while the variable fee is charged for every mark-up over the lifecycle of the issued asset.

The variable fee is calculated based on the market value of the asset, using the reference price provided by the issuer agent to SIX SIS AG.

The fixed fee for the Depositary Receipt is the following:

| Asset Class | Fixed Fee (CHF) | Variable (Bps) | Cap (CHF) |
|---------------------|-----------------|----------------------------|-----------|
| Depositary receipts | 250 | As per below pricing model | - |

The variable fee for the Depositary Receipt is the following:

| Tier | Range (CHF) | Variable (Bps) |
|------|--------------------------|----------------|
| 1 | 0 – 1,500,000 | 2.50 |
| 2 | 1,500,001 – 10,000,000 | 2.00 |
| 3 | 10,000,001 – 100,000,000 | 1.50 |
| 4 | Above 100,000,001 | 1.00 |

5.1.2 Secondary entries

The secondary entry into a Depositary Receipt is charged with a variable fee. The fee is charged to the receiving participant of the Depositary Receipt.

| Tier | Range (CHF) | Variable (Bps) |
|------|--------------------------|----------------|
| 1 | 0 – 1,500,000 | 6.00 |
| 2 | 1,500,001 – 10,000,000 | 5.00 |
| 3 | 10,000,001 – 100,000,000 | 4.00 |
| 4 | Above 100,000,001 | 3.00 |

The issuer agent is charged with a variable fee of 2.00 bps for every secondary entry transaction.

The variable fee is calculated based on the market value of the asset, using the reference price provided by the issuer agent to SIX SIS AG.

5.1.3 De-issuance

A variable fee of 3.50 bps is levied to the delivering custodian for the de-issuance of the Depositary Receipt.

A variable fee of 3.50 bps is levied to the issuer agent for the de-issuance of the Depositary Receipt.

The variable fee is calculated based on the market value of the asset, using the reference price provided by the issuer agent.

5.1.4 Maintenance

A variable fee (bps) per annum and pro rata based on the market value of the average holdings is levied to the issuer agent of the Depositary Receipt(s).

The fee is calculated based on the market value of the asset, using the reference price provided to SIX SIS AG by the issuer agent.

| Tier | Range (CHF) | Variable (Bps) |
|------|---------------------------|----------------|
| 1 | 0 – 100,000,000 | 2.50 |
| 2 | 100,000,001 – 300,000,000 | 1.50 |
| 3 | Above 300,000,001 | 1.00 |

6 Communication services

A flat fee of CHF 1.70 per settlement instruction is charged for provision of communication services. The fee is charged upon acceptance of the settlement instruction. An additional flat fee of CHF 0.20 is charged per outgoing message via SWIFT communication channel.

7 Access and Hosting services

A fixed annual fee of CHF 35,000 is levied to each member with a node hosted under SIX Digital Asset Platform. The fee is charged on a monthly basis in equal instalments. Should participation begin or end during the year, this fee is levied pro rata.

The fee covers core services including:

- Access and connectivity to SIX Digital Asset Platform
- Node hosting operations
- Infrastructure hosting and operations
- HSM hosting and operation

Initial one-off cost per node for technical onboarding will be charged based on the costs incurred. This cost covers services which include but are not limited to key management activities, coordination, introduction, training sessions and third (3rd) party costs.

8 Miscellaneous services

8.1 Automated detokenization

A flat fee of CHF 60 per month and per eligible account is levied to the participants who opt in for the automated detokenization service. The service is performed on account level and for each currency every business day of the month.

8.2 Customer Identity Access Management

A fixed annual fee of CHF 6,000 is levied to the participants who opt in for the Customer Identity Access Management (CIAM) service, operated by SIX SIS AG. The fee is charged on a monthly basis in equal instalments for 20 users. Should activation begin or end during the year, the fee is levied pro rata. Initial one-off fee of CHF 2,000 is levied to cover technical onboarding.

8.4 Hardware Security Module partition

A fixed annual fee of CHF 4,000 is levied to the participants who wish to access a test Hardware Security Module (HSM) partition. The fee includes access to two (2) sessions with a duration of two (2) weeks per session. Participants who request an additional session of two (2) weeks within the same calendar year are charged with CHF 2,000 per session.

8.5 Other miscellaneous services

Data services, analysis, non-standardized reporting, or other ad hoc services including manual intervention from SIX Digital Asset Platform on behalf of the members which are not specified in this price list will be charged based on an hourly rate of CHF 230. SIX SIS AG will charge the same hourly rate of CHF 230 for services specified in this

price list which the participant requests to be carried out by SIX Digital Asset Platform on behalf of the participant.

9 General provisions

Third (3rd) party costs for services will be passed on to the participants. Changes in fees by any third (3rd) party will be applicable without prior notification provided by SIX SIS AG.

SIX SIS AG may impose penalties for uncovered orders that lead to settlement delays. The exact requirements for such penalties and their amount will be defined here.

Detailed invoices are sent monthly to SIX SIS AG participants. All services provided to participants are charged in CHF. Unless agreed otherwise, invoices must be settled within 30 days from the day of issue.

Interest in arrears of 10% p.a. may be charged on payments received late.

10 Annex I

The issuer agent of a Depositary Receipt can elect to pass on fees for services provided to the custodians for the Depositary Receipt.

A variable fee (bps) per annum and pro rata based on the market value of the average holdings is levied to the custodian of the Depositary Receipt(s) by the issuer agent.

The fee is calculated based on the market value of the asset as determined by the issuer agent.

The following table shows the fees based on which the cost will be calculated by the respective issuer agents of Depositary Receipts and will be passed on to the participants via SIX SIS AG.

| Service | Issuer Agent | Fixed Fee (CHF) | Variable (Bps) | Cap (CHF) |
|-------------|--------------------------|-----------------|----------------|-----------|
| Maintenance | Citibank N.A. (New York) | | 100 | |