# Annex 4
# Price List for the bLink Platform

to the bLink Platform Participation Contract

# 1) General

SIX BBS Ltd (SIX) is the operator of the bLink platform. On the bLink platform, participants can offer services as Service Providers and/or purchase services as Service Users.

A Service Provider has the option of charging certain prices for its services to the Service User. In its role as the operator of the platform, SIX takes over the invoicing.

All amounts are quoted in Swiss francs (CHF). The information contained in the price list does not include value added tax, withholding tax or similar taxes. All taxes and fees shall be borne by the participant.

## Definitions

The following definitions are used in this document:

| | |
|---|---|
| **API Service** | An API service represents the smallest unit of the application offered via an API for which an application agreement is concluded. |
| **Application** | An application is a service that a Service Provider makes available to the Service User via one or more API services via the bLink Marketplace. The contractual conditions of the application are defined in the respective application specification, which becomes the application agreement if the data exchange is successful. |
| **Application Agreement** | Agreement between the Service Provider and the Service User, which is concluded when the Service Provider answers the service call on the platform in accordance with the application specification (details in the *bLink Platform Participation Contract*, section 6.2 N 44 et seq.). |
| **Banking Network** | A banking network is a network of multiple banks that collaborate based on technically standardized processes to generate synergies. |
| **Participant** | The participant is the contractual partner of SIX in the *bLink Platform Participation Contract*. The participant assumes the role of either Service Provider or Service User in the application agreement. |
| **Participation Contract** | With a positive decision on admission to the platform and at least one of the desired applications, the *bLink Platform Participation Contract* comes into effect with the legally valid signing of the participation conditions. |
| **Partner API** | The API of a Service Provider, about the existence and use of which the Service Provider can freely decide within the scope of Annex 2 — Partner API Application Specifications, in particular with regard to the design of the API Service and the technical specifications (ownership). |
| **Platform** | The bLink platform operated by SIX. |
| **Service Provider** | Party that provides the API service. |
| **Service User** | Party that obtains the API service. |
| **Small Institutions** | Banks in FINMA category 5. |
| **Third-Party Provider (TPP)** | Third-party providers (TPP) are participants who are not affected by FINMA regulations (e.g. providers of software solutions) and act as Service Providers or Service Users on the platform. |

## 2) Onboarding Costs

The onboarding costs to be paid by the participant compensates SIX for its efforts during the admission test, the technical connection to the platform and for the support provided to the participants during onboarding. The costs are charged per application and per role. Subsequent connections with a new API or application, or a change of role, will incur new onboarding costs as an expense allowance.

### 2.1 Onboarding costs for third-party providers

| Description | Costs in CHF |
|---|---|
| Onboarding effort | 5,000.00 |

### 2.2 Onboarding costs for financial institutions with special regulation[1]

| Description | Costs in CHF |
|---|---|
| Onboarding effort | 15,000.00 |

### 2.3 Onboarding costs for financial institutions without special regulation[2]

| Description | Costs in CHF |
|---|---|
| Onboarding effort | Upon request[3] |

## 3) Participation Costs

SIX charges each participant a monthly flat rate for participation in the platform. These costs are independent of the number of active applications selected by the participant.

| Description | Costs per month in CHF |
|---|---|
| Participation costs | 200.00 |

The participation costs also include support requests to ensure normal operation within the usual scope. The usual scope includes 12 hours per year. SIX charges CHF 50 per 15 minutes or part thereof for any additional work.

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[1] See **Annex 1 − Admission Criteria for the bLink Platform Participation Contract**, chapter 3 Special Regulations
[2] See **Annex 1 − Admission Criteria for the bLink Platform Participation Contract**, chapter 3 Special Regulations
[3] Requires a specific cost estimate for the relevant participant

# 4)   Prices for Account and Payment Services

If a participant uses an AIS and/or PSS application, they must pay SIX monthly prices for the application. The amount of the price depends on the number of potential counterparties. A potential counterparty is a participant in the respective application. For the determination of the monthly price, only the number of potential counterparties is relevant, and not the number of counterparties with which API calls were actually executed.

## 4.1   Service User: Prices for account and payment services

| Number of Service Providers in account and payment services | Price per month in CHF |
|---|---|
| 1 to 5 | 0.00 |
| 6 to 10 | 0.00 |
| 11 to 15 | 0.00 |
| 16 and more | 0.00 |

## 4.2   Service Provider: Prices for account and payment services

| Number of Service Users in account and payment services | Price per month in CHF |
|---|---|
| 1 to 5 | 500.00 |
| 6 to 10 | 800.00 |
| 11 to 15 | 1,200.00 |
| 16 and more | 1,700.00 |

## 5)     Prices for OpenWealth

The OpenWealth application consists of three APIs of the OpenWealth Association: OpenWealth Custody Service API, OpenWealth Customer Management API and OpenWealth Order Placement API. If a participant uses one or more of these APIs, they must pay SIX monthly prices for the application. The amount of the price depends on the number of potential counterparties. A potential counterparty is a participant in the respective application. For the determination of the monthly price, only the number of potential counterparties is relevant, and not the number of counterparties with which API calls were actually executed.

### 5.1    Service User: Prices for OpenWealth

| Number of Service Providers in OpenWealth | Price per month in CHF |
|---|---|
| 1 to 5 | 0.00 |
| 6 to 10 | 0.00 |
| 11 to 15 | 0.00 |
| 16 and more | 0.00 |

### 5.2    Service Provider: Prices for OpenWealth

| Number of Service Users in OpenWealth | Price per month in CHF |
|---|---|
| 1 to 5 | 500.00 |
| 6 to 10 | 800.00 |
| 11 to 15 | 1,200.00 |
| 16 and more | 1,700.00 |

## 6)     Costs for Special Rates

If bilateral conditions have been agreed between the Service Provider and the Service User (**"Special Rates"**), SIX shall charge the Service Provider the following monthly flat rate:

| Description | Costs per month in CHF |
|---|---|
| Costs for Service Providers per Special Rate per Service User | 10.00 |

## 7)     Costs for Participant-Specific Routing Instructions

A participant has the option of instructing SIX using the appropriate form to store specific routing instructions for service calls from named participants.

| Costs for participant-specific routing instruction | Costs per month in CHF |
|---|---|
| Costs per stored routing instruction | 10.00 |

## 8) Service Provider Pricing

### 8.1 Flat rate per Service User

The Service Provider has the option of charging the Service User a monthly flat rate for providing the services under the application agreement. The flat rates published here are the list prices of the Service Provider. Service Users and Service Providers may override, i.e. substitute, these list prices by means of individual price arrangements ("Special Rates" pursuant to section 6).

As the operator of the platform, SIX retains 20% of the flat rate charged to the Service User.

| Service Provider* | Per application: Price per month in CHF | |
| --- | --- | --- |
| | Account and Payment Services | OpenWealth |
| St.Galler Kantonalbank AG | n/a[4] | 1,000.00 |

\* Service Providers that are not listed in this table do not charge a flat rate per Service User.

### 8.2 Price for customer registration

The Service Provider has the option of charging a price for the initial customer registration. If it is revoked and a new registration is necessary, the price is due once again.

The prices of the Service Providers published here are list prices. Service Users and Service Providers may enter into individual price arrangements ("Special Rates" pursuant to section 6).

As the operator of the Platform, SIX retains 20% of the price charged to the Service User for customer registration.

| Service Provider* | Price per initial registration in CHF |
| --- | --- |
| UBS Switzerland AG | 20.00 |

\* Service Providers that are not listed in this table do not charge any prices for customer registrations.

[4] n/a = not applicable

## 8.3 Price for API calls

The Service Provider has the option of charging the Service User for answering API calls. The prices of the Service Providers published here are list prices. Service Users and Service Providers may enter into individual price arrangements ("Special Rates" pursuant to section 6).

Whether such prices are due and in what amount is at the discretion of the Service Provider. The Service Providers are free to define the amounts of the prices differently depending on the Application.

As the operator of the Platform, SIX retains 20% of the prices charged to the Service User.

| Service Provider* | Per API: Price per call in CHF | | | | |
| --- | --- | --- | --- | --- | --- |
| | Account Information Service (AIS) | Payment Submission Service (PSS) | OpenWealth API Custody Service | OpenWealth API Customer Management | OpenWealth API Order Placement |
| Acrevis Bank AG | 0.10 | 0.15 | n/a[5] | n/a[5] | n/a[5] |
| Banque Cantonale du Jura SA | 0.10 | 0.15 | n/a[5] | n/a[5] | n/a[5] |
| Hypothekarbank Lenzburg AG | 0.10 | n/a[5] | n/a[5] | n/a[5] | n/a[5] |
| Luzerner Kantonalbank AG | 0.10 | 0.15 | n/a[5] | n/a[5] | n/a[5] |
| UBS Switzerland AG | 0.10 | 0.15 | n/a[5] | n/a[5] | n/a[5] |
| Valiant Bank AG | 0.10 | 0.15 | n/a[5] | n/a[5] | n/a[5] |
| Zürcher Kantonalbank | 0.15 | 0.15 | 0.00 | n/a | 0.00 |

\* Service Providers that are not listed in this table do not charge any prices for API calls.

# 9) bLink Special Rates

For Small Institutions, special rates are applicable. For members of a Banking Network, special rates are applicable to the extent that this membership creates benefits in the process handling within the framework of the bLink Platform Participation Contract.

# 10) Additional Pricing Arrangements for Partner API Application Specifications

Additional pricing arrangements, i.e. prices that apply in addition to this Annex 4, are agreed in the respective Partner API Application Specifications (Annex 2).

[5] n/a = not applicable