Deal Pool Investor User Factsheet

Register and Log on
You can register for Deal Pool under the following URL:
To log on to Deal Pool, you can choose among three authentication methods:
- RSA SecurID Hardware Token
- RSA SecurID Software Token for Android
- RSA SecurID Software Token for iPhone
For a detailed description on how to register for Deal Pool or in case of problems, please contact the Deal Pool team: dealpool@six-group.com.

Set up a Relationship with a Syndicate Bank
To be able to enter orders for a tranche, you must have an approved relationship with the syndicate bank.
Click on Relationship Management and Add Relationship.

In the window that opens, choose the syndicate bank from the drop-down box and click OK.
Once the syndicate bank has approved the relationship, the new relationship will appear in the table with Approved relationships. If approval is pending for too long please contact your sales contact of the syndicate bank to speed up the approval process.

Enter Orders
After logon, you are directed to the Investor Dashboard section. If you click on a tranche, you see all available deal information and associated documents. The list of tranches is segregated by status. If you click on the Actions column you can enter orders for a tranche by clicking the Enter order button.

In the window that opens, type the name of the Index account and Syndicate Bank of your choice to enter the order details. Click Submit.
Additional step if the book is oversubscribed: Notification refers to subject order, click OK to accept or reject.

Reconfirm or Reject Orders
If pricing relevant factors of an issue have changed, you can either reconfirm or reject orders.
To do this, in the My Orders section, click the Outstanding to be reconfirmed section and confirm with the respective button in the Actions column.

In the window that opens, click OK.

Confirm Orders
To confirm orders, in the My Orders section, browse to the Released section and click the Confirm order button in the Actions column.

In the notification window click OK.
If you disagree with the allocation, you may contact your sales representative to sort out the dispute bilaterally.

Update and Delete Orders
In the My Orders section, you can update and delete orders by clicking the respective button in the Actions column.

Inspect Orders
In the My Orders section, you can filter Deleted and Rejected orders by selecting the respective check box.

If you have any questions, please contact the Deal Pool team: dealpool@six-group.com
Review Order Event Log
In the My Orders section, you can review the order event log by clicking the Order event log button in the Actions column. Amendments to an order are highlighted in red and show an exclamation mark on the left side of the order.

Find Deals
In the Investor Dashboard search box you can find deals which are not in a completed status.

- The following attributes are searched: Title, Issuer, ISIN.
- The search is not case-sensitive.

Contact
If you encounter problems or have any questions, please do not hesitate to contact the Deal Pool Team:
dealpool@six-group.com