



Security Identification Numbers with the Click of a Mouse

CONNEXOR® Numbering

Automatic reservation and allocation of Swiss security identification numbers and ISINs.

CONNEXOR® Numbering is the central reservation tool for Swiss security identification numbers as well as for ISINs (International Securities Identification Numbers) provided that the ISIN is in the sphere of competence of SIX.

Both issuers and applicants may arrange the allocation of security identification numbers at SIX using an Internet application or an interface that can be integrated into their own applications (web services). Once the number has been allocated, the applicant will automatically receive a confirmation message. This automated process increases the quality of data input and enhances cost efficiency.

ANNA and the Swiss security identification numbers

SIX is the official National Numbering Agency (NNA) for Switzerland, Liechtenstein and Belgium, and is a founding member of the Association of National Numbering Agencies (ANNA).

As a registration office, ANNA is responsible for coordinating the allocation and distribution of the corresponding ISINs on a global level. An ISIN consists of a prefix, a basic number and a check digit. The ISINs allocated by SIX are based on the Swiss security identification numbers. For all financial instruments that are outside the sphere of competence of SIX, the basic number is derived from other national numbering systems.

Standardized communication

Up until now, the only way for issuers of financial instruments to arrange the allocation of Swiss security identification numbers and ISINs was via e-mail to SIX. Though it was possible to make bulk reservations for these numbers, the confirmation of the process had to be entered manually and was sent via e-mail. This not only slowed down the process but also required timeconsuming manual processing at both ends of the interface.

CONNEXOR® Numbering clearly renders this process more efficient. The issuing process is optimized, the efficiency of securities placement is enhanced and the potential for automation within the different processes is exploited to the largest possible extent. With CONNEXOR® Numbering, SIX is making a considerable contribution

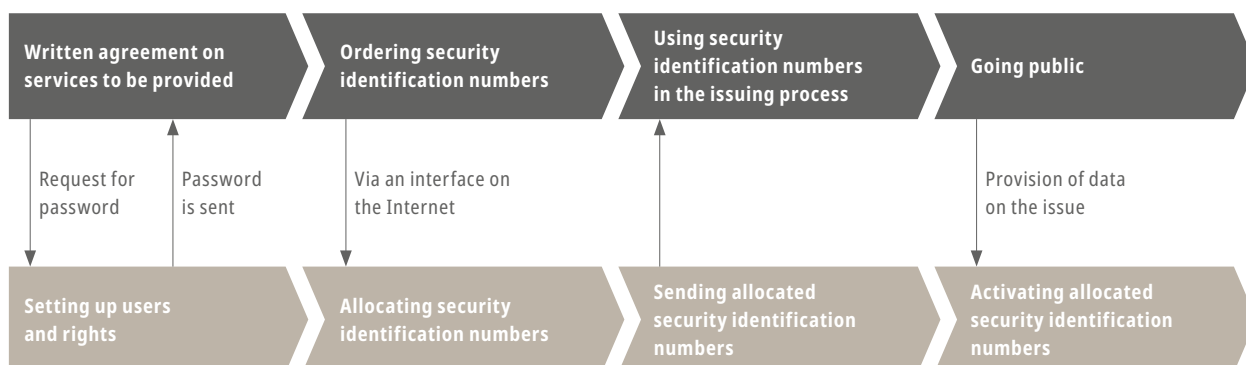
to a web-based infrastructure for the Swiss financial centre. The service is free of charge. However, issuers and applicants are contractually obliged to meet all the conditions attached to this service. This ensures consistent and accurate allocation and use of security identification numbers.

Useful Information at a Glance

- Issuers may arrange for the allocation of Swiss security identification numbers via an input panel or a proprietary web service interface directly on the Internet.
- The service is offered through a web-based user interface or a web service interface. Subsequently, the order is processed and a confirmation sent to the issuer on an automated basis.
- A maximum of 5,000 security identification numbers including CH, BE and LI ISINs can be reserved for allocation. The issuer may submit several allocation requests as long as the limit of 5,000 numbers is not exceeded.
- There is no time limit for using the security identification numbers provided.
- The issuer may opt to receive the numbers in either MS Excel or XML format.
- Only one order received from the applicants is processed at a specific point in time.
- The users must be authorized to use CONNEXOR® Numbering as part of an organizational authorization process.
- A checklist displays the allocated numbers. Order information and the numbers allocated can be queried online using the web application CONNEXOR® Numbering.

How Security Identification Numbers Are Allocated

Issuer



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We have offices in over 23 countries, to get in touch with your local SIX office visit www.six-group.com/contacts

SIX
Financial Information
Pfingstweidstrasse 110
8021 Zürich
Switzerland

T + 41 58 399 2111
sales.ch@six-financial-information.com
www.six-group.com/financial-information