



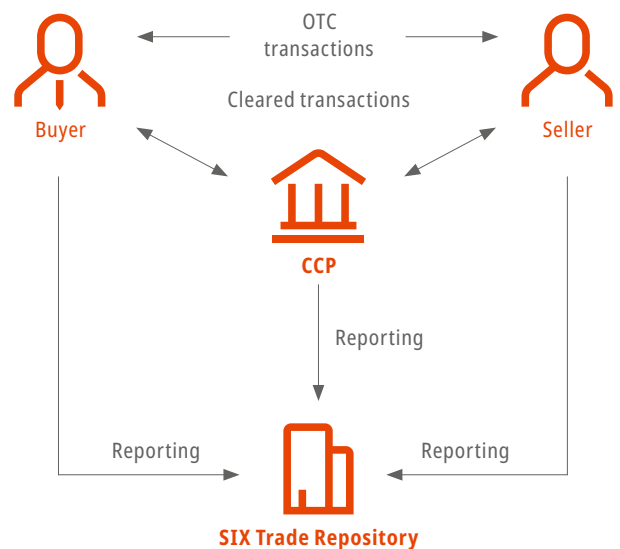
Trade Repository

# Efficiently comply with regulatory obligations for derivative transactions reporting.

Our Trade Repository is the only trade repository based exclusively in Switzerland and approved by the Swiss Financial Market Supervisory Authority (FINMA).

The SIX Trade Repository allows you, as a financial market participant in Switzerland, to securely, quickly and easily meet the regulatory reporting requirements for transactions involving derivatives in accordance with the new Financial Market Infrastructure Act (FinfraG). It goes without saying that the SIX Trade Repository meets the highest standards of international security with the added assurance that all data is stored locally in Switzerland.

All financial market participants in Switzerland are required by law to report transactions involving derivatives to a trade repository that has been approved by FINMA. This impacts both over-the-counter (OTC) trades as well as transactions involving exchange-traded derivatives (ETDs). As the only trade repository based exclusively in Switzerland, the SIX Trade Repository is the ideal solution for fulfilling your statutory reporting requirements.



### **Best-In-Class Application – Internationally Proven and Multi-Award Winning**

The technical foundation of the Trade Repository is provided by the industry-leading, multi-award winning UnaVista trade repository application. Thanks to a strategic partnership with this wholly owned subsidiary of the London Stock Exchange Group (LSEG), SIX is able to provide financial market participants with a proven high performance solution for reporting derivatives in various jurisdictions. Existing UnaVista clients also benefit from the interoperability of the European trade repository in accordance with the European Market Infrastructure Regulation (EMIR) and the Trade Repository in accordance with FinfraG – which makes transitioning a far simpler process.

#### **Swiss Standards. Swiss Quality.**

To create a secure and user-friendly solution for financial market participants in Switzerland that meets applicable legal requirements, SIX customized the trade repository application from Unavista to meet the Swiss market standards and to provide comprehensive workflow support for the users. The Trade Repository offers multiple file and consistency checks, daily reporting reconciliation as well as seamless compliance monitoring and data management with wide-ranging functionality.

#### **What You Need to Know**

- Highest quality thanks to automated processing, standardized data formats and standardized data transfer
- File formats: XML, CSV, Excel
- Protocols: MQSeries, sFTP, secure web portal
- Guaranteed statutory compliance and strict fulfillment of all national and European reporting obligations
- Maximum security thanks to reliable local data storage in Switzerland and guaranteed data protection
- Workflow support with comprehensive compliance, monitoring and data management
- Coordinated, country-specific reporting, taking into account different jurisdictions
- Daily EOD reporting reconciliation: ACK/NACK, current state, alleged reporting

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#### **Exchange Services by SIX. Swiss-made. World-class.**

Our stock exchange-related functions contain listing, trading and post-trading services. Make use of our unique open value chain, and choose the products and services which best serve your needs. As a central infrastructure provider, SIX safeguards the flow of information and

cash between financial institutions, traders, investors and service providers around the world. All systemically important legal entities of SIX are subject to supervision by the Swiss National Bank (SNB) and the Swiss Financial Market Supervisory Authority (FINMA).

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