

	Two-sided trade report		One-sided trade report	
Input Source	Standard Trading Interface (STI)	Reporting Application	Standard Trading Interface (STI)	Reporting Application
Purpose	Reporting the details of a trade agreed off order book with a participant of the Exchange or a reporting member.		The details of a trade agreed off order book with a counterparty who is not a participant of the Exchange or a reporting member.	
Behaviour	Enter and Accept: A two-sided trade report is entered by one party and is confirmed by the counterparty. Enter and Match: A two-sided trade report is entered by each party, if the relevant trade input attributes are equal the trade entries will match.		One-sided trade reports do not need to be confirmed by the counterparty and immediately lead to a trade.	
Side	Buy or Sell		Buy or Sell	
Quantity	<i>Equities, Funds and Structured Products</i> : Number of units <i>Bonds and Structured Product Bonds</i> : Nominal value The quantity of the reported trade must comply with the minimum denomination and smallest denomination for the instrument for on exchange and Delivery Reports.		<i>Equities, Funds and Structured Products</i> : Number of units <i>Bonds and Structured Product Bonds</i> : Nominal value The quantity of the reported trade must comply with the minimum denomination and smallest denomination for the instrument for on exchange and Delivery Reports.	
Security	<i>On-Exchange</i> : all securities <i>Off-Exchange</i> : all securities		<i>On-Exchange</i> : all securities <i>Off-Exchange</i> : all securities	
Price Type	Limit		Limit	
Price	<i>Equities, Funds and Structured Products</i> : Price per unit of quantity <i>Bonds</i> : Price in percentage of nominal Price Steps do not apply to off order book trades.		<i>Equities, Funds and Structured Products</i> : Price per unit of quantity <i>Bonds</i> : Price in percentage of nominal Price Steps do not apply to off order book trades.	
Trade Agreed Date Trade Agreed Time	The date and time at which a trade is agreed by the two parties.		The date and time at which a trade is agreed by the two parties.	
Trading Capacity	Attribute of trade	P Principal R Riskless Principal	Attribute of trade	P Principal R Riskless Principal
Reporting Hours	Two-sided trade reports can be reported during business hours. The two legs of the two-sided trade report can be matched and deleted on the transaction date (date of first leg) or on the next business day.		One-sided trade reports can be reported during business hours.	
Original Trade ID	-		-	
Trade Types	Delivery Report	Countertrade	Countertrade	
Trade Subtypes	On Exchange	Off Exchange	On Exchange	Off Exchange
Trade Publish Indicator	Publish Trade	Deferred Publication	Publish Trade	Deferred Publication
Trade Reg Publication Type	Pre-trade transparency waiver	Post-trade deferral	Pre-trade transparency waiver	Post-trade deferral
Tariff	-		Optional flag if trade report on behalf of both involved parties: Both Parties	
Transaction Report	«Transaction Report» specifies whether a transaction report should be derived from the entering firm's trade leg and submitted to fulfil the party's reporting duty. Only supported when Order Capacity is Principal.			
Settlement Date	The date when the trade is to be settled. If settlement date is not indicated, the settlement will be done T+2 (for Rights and Options T+1)		Manual settlement	
Clearing Instruction	<i>On-Exchange</i> : If settlement date is not T+2 (for Rights and Options T+1), settlement only or manual mode is allowed. Default is automatic clearing and settlement. <i>Off-Exchange</i> : Manual Settlement only		Manual settlement	
Commission	Optional for Delivery Reports. The amount of the commission (absolute or percentage) and the side to which the commission is to be paid		-	

	Capacity Correction	Cancellation Request
Input Source	Standard Trading Interface (STI) Reporting Application	Standard Trading Interface (STI) Reporting Application
Purpose	Correcting the capacity of an On- or Off-Order Book trade. (A trade can only be corrected once per side).	Requesting the Cancellation of a trade with SIX Swiss Exchange.
Behaviour	One-Sided Capacity Corrections do not need to be confirmed by the counterparty and immediately lead to the correction of the trade. For unconfirmed two-sided trade reports this functionality is not available. The unmatched leg of the trade should be deleted and a new two-sided trade reported with the correct capacity.	Cancellation Requests will trigger an e-mail with SIX Swiss Exchange. Upon receiving Cancellation Requests from involved parties, SIX Swiss Exchange will perform the cancellation of the trade.
Side	Buy or Sell	Buy or Sell
Quantity	<i>Equities, Funds and Structured Products:</i> Number of units <i>Bonds and Structured Product Bonds:</i> Nominal value Quantity of the trade to be corrected	<i>Equities, Funds and Structured Products:</i> Number of units <i>Bonds and Structured Products Bonds:</i> Nominal value Quantity of the trade to be corrected
Security	All securities	All securities
Price Type	Limit	Limit
Price	<i>Equities, Funds and Structured Products:</i> Price per unit of quantity <i>Bonds and Structured Product Bonds:</i> Price in percentage of nominal Price Steps do not apply to off order book transactions.	<i>Equities, Funds and Structured Products:</i> Price per unit of quantity <i>Bonds and Structured Product Bonds:</i> Price in percentage of nominal Price Steps do not apply to off order book transactions.
Trade Agreed Date Trade Agreed Time	not applicable	The date and time at which the original trade has been agreed by the two parties.
Trading Capacity	Attribute of transaction P Principal R Riskless Principal (must be opposite of the capacity of the original trade)	Ignored on Cancellation Requests
Reporting Hours	Trade Corrections can be performed during business hours of the day the trade occurred or the next business day.	Cancellation Requests can be submitted during business hours on the day the trade occurred or on the next business day. For trades in CCP-securities, SIX Swiss Exchange can perform Cancellations until End of Clearing on the transaction date only.
Original Trade ID	Trade Match ID of the original trade to be corrected	Trade Match ID of the original trade to be cancelled
Trade Types	-	-
Trade Subtypes	Correction	Cancellation Request
Trade Publish Indicator	-	Publish Trade Deferred Publication
Trade Reg Publication Type		Pre-trade transparency waiver Post-trade deferral
Tariff	-	Party who covers the fee of the cancellation - CancSplit - CancBuy - CancSell
Transaction Report	-	-
Settlement Date	-	-
Clearing Instruction	-	-
Commission	-	-