

Price Step Overview (Tick Sizes) – Equity Market

Valid as of 15 October 2018

Blue Chip Shares; Mid-/Small-Cap Shares; Secondary Listing Shares*, Sponsored Foreign Shares**

MiFIDII Tick Size Table

Price Step Group Code	LA	LB	LC	LD	LE	LF
Price ranges	0 ≤ Average daily number of transactions < 10	10 ≤ Average daily number of transactions < 80	80 ≤ Average daily number of transactions < 600	600 ≤ Average daily number of transactions < 2000	2000 ≤ Average daily number of transactions < 9000	9000 ≤ Average daily number of transactions
0 ≤ price < 0.1	0.0005	0.0002	0.0001	0.0001	0.0001	0.0001
0.1 ≤ price < 0.2	0.0010	0.0005	0.0002	0.0001	0.0001	0.0001
0.2 ≤ price < 0.5	0.0020	0.0010	0.0005	0.0002	0.0001	0.0001
0.5 ≤ price < 1	0.0050	0.0020	0.0010	0.0005	0.0002	0.0001
1 ≤ price < 2	0.0100	0.0050	0.0020	0.0010	0.0005	0.0002
2 ≤ price < 5	0.0200	0.0100	0.0050	0.0020	0.0010	0.0005
5 ≤ price < 10	0.0500	0.0200	0.0100	0.0050	0.0020	0.0010
10 ≤ price < 20	0.1000	0.0500	0.0200	0.0100	0.0050	0.0020
20 ≤ price < 50	0.2000	0.1000	0.0500	0.0200	0.0100	0.0050
50 ≤ price < 100	0.5000	0.2000	0.1000	0.0500	0.0200	0.0100
100 ≤ price < 200	1.0000	0.5000	0.2000	0.1000	0.0500	0.0200
200 ≤ price < 500	2.0000	1.0000	0.5000	0.2000	0.1000	0.0500
500 ≤ price < 1000	5.0000	2.0000	1.0000	0.5000	0.2000	0.1000
1000 ≤ price < 2000	10.0000	5.0000	2.0000	1.0000	0.5000	0.2000
2000 ≤ price < 5000	20.0000	10.0000	5.0000	2.0000	1.0000	0.5000
5000 ≤ price < 10000	50.0000	20.0000	10.0000	5.0000	2.0000	1.0000
10000 ≤ price < 20000	100.0000	50.0000	20.0000	10.0000	5.0000	2.0000
20000 ≤ price < 50000	200.0000	100.0000	50.0000	20.0000	10.0000	5.0000
50000 ≤ price	500.0000	200.0000	100.0000	50.0000	20.0000	10.0000

* Secondary Listing Shares: If the primary market of the security is **outside** the EU the Liquidity Band LA "0 ≤ Average daily number of transactions < 10" will be allocated.

** Sponsored Foreign Shares: If the primary market of the security is **outside** the EU the following tick sizes will apply:

Min Unit Price	Max Unit Price	Tick Size Amount
0.00	1.00	0.001
1.00	999999999.999999	0.01

Rights and Options	SwissAtMid	Separate Trading Lines
0.01 independent of market price	independent of market price 0.0001 Blue Chip Shares, 0.0001 Mid-/Small Cap Shares	The tick size of the primary line will be applied



Price Step Overview (Tick Sizes) – Funds and Other Financial Products, Bonds, Structured Products

Valid as of 01 January 2018

Sponsored Funds; Exchange Traded Funds (ETF); Exchange Traded Structured Funds (ETSF); Exchange Traded Products (ETP)

Price Step Group Code	LF
Price ranges	9000 ≤ Average daily number of transactions
0 ≤ price < 0.1	0.0001
0.1 ≤ price < 0.2	0.0001
0.2 ≤ price < 0.5	0.0001
0.5 ≤ price < 1	0.0001
1 ≤ price < 2	0.0002
2 ≤ price < 5	0.0005
5 ≤ price < 10	0.0010
10 ≤ price < 20	0.0020
20 ≤ price < 50	0.0050
50 ≤ price < 100	0.0100
100 ≤ price < 200	0.0200
200 ≤ price < 500	0.0500
500 ≤ price < 1000	0.1000
1000 ≤ price < 2000	0.2000
2000 ≤ price < 5000	0.5000
5000 ≤ price < 10000	1.0000
10000 ≤ price < 20000	2.0000
20000 ≤ price < 50000	5.0000
50000 ≤ price	10.0000

For securities traded in JPY, the tick size is 1.00 independent of market price

Investment Funds

0.01	through	9.99	0.01
10.00	through	99.95	0.05
100.00	through	249.90	0.10
250.00	through	499.75	0.25
500.00	through	999.50	0.50
1'000.00	through	4'999.00	1.00
5'000.00	and above		5.00

Bond Market

Bonds - CHF Swiss Confederation; Bonds - CHF Swiss Pfandbriefe; Bonds - Non CHF

0.01% independent from market price

Bonds - CHF

Maturity ≥ 18 month 0.05% independent of market price (not applicable to Floaters and Delisted Bonds)

Maturity < 18 month 0.01% independent of market price

Structured Products Market

Warrants; Structured Products Warrants & Certificates

0.001 of the relevant trading currency

1.00 of the relevant trading currency for instruments with a reference price of CHF 10'000 or above

Structured Products Bonds

0.01% independent from market price

