



Swiss Exchange

SIX Swiss Exchange Indices

Rules Governing The SXI Index Family

Table of Content

1	Index Structure	4
1.1	Introduction	4
1.2	General principles	4
2	Index Structure Of SXI Indices	5
2.1	Criterion for investment companies: Structure of shareholdings	5
2.2	Securities universe Life Sciences	5
2.2.1	Standardisation Life Sciences	5
2.3	Securities universe Real Estate sector	5
2.3.1	Standardisation Real Estate sector	5
2.4	Securities universe of the Sustainability segment	6
2.4.1	Standardisation of the Sustainability segment	6
2.5	Index Commission	6
2.6	Review of index concept	6
2.7	Termination of the index calculation	6
3	Index Calculation	7
3.1	Laspeyres index formula	7
3.2	Divisor	7
3.3	Limits on security weightings	7
3.3.1	Life Sciences sector	7
3.3.2	Real Estate sector	7
3.3.3	Sustainability segment	7
3.4	Treatment of dividends	8
3.5	Free Float	8
3.5.1	Shares in fixed ownership: definition	8
3.5.2	Sources of information	8
3.5.3	Exceptions	9
3.6	Calculation interval and publication	9
3.7	Prices used	9
3.8	Trading hours	9
3.9	Final Settlement Value (FSV)	9
4	Admission To And Exclusion From The Index	10
4.1	Ordinary adjustment dates	10
4.2	Criteria for Real Estate sector	10



4.2.1	Inclusion criterion for the SXI Real Estate All Shares index.....	10
4.2.2	Inclusion criteria for the SXI Real Estate Broad indices.....	10
4.2.3	Determination of rankings for the selection of securities in the SXI Swiss Real Estate indices	10
4.2.4	Inclusion criteria SXI Swiss Real Estate indices	10
4.2.5	Exclusion criteria SXI Swiss Real Estate indices.....	11
4.3	Criterion Life Sciences sector.....	11
4.3.1	Criterion "ICB sector classification".....	11
4.3.2	Minimum capitalisation	11
4.4	Criterion Sustainability segment	11
4.5	Adjustments outside of the specified review and acceptance period.....	11
4.6	Criterion "free float".....	12
4.6.1	Reporting obligation for companies domiciled abroad.....	12
4.7	Admission of newly listed securities (IPO).....	12
5	Index Adjustments	13
5.1	Ordinary adjustments	13
5.2	Extraordinary adjustments.....	13
5.2.1	Extraordinary adjustment of the capping factors in the Life Sciences sector	13
5.3	Dividend and other payments.....	14
5.3.1	Regular cash dividend	14
5.3.2	Repayments of capital instead of a cash dividend.....	14
5.3.3	Extraordinary payments.....	14
5.3.4	Share dividend (company's own shares).....	14
5.3.5	Share dividend (shares of another company).....	15
5.3.6	Extraordinary situations	15
5.4	Information on corporate events.....	15
5.5	Trade suspensions and market distortions	15
5.6	Index corrections	15
6	Trademark Protection, Use And Licensing	16
6.1	Protection	16
6.2	Licensing	16
6.2.1	Free usage	16
6.2.2	Usage subject to licence.....	16
7	Contact.....	16

1 Index Structure

1.1 Introduction

The SXI® Special Indices are specially selected industry sector and thematic indices. The sectors have been selected mainly due to the international and domestic significance of the specific sector as well as the number of companies listed on SIX Swiss Exchange that are representative of the industry or specific themes.

SXI Real Estate® Indices

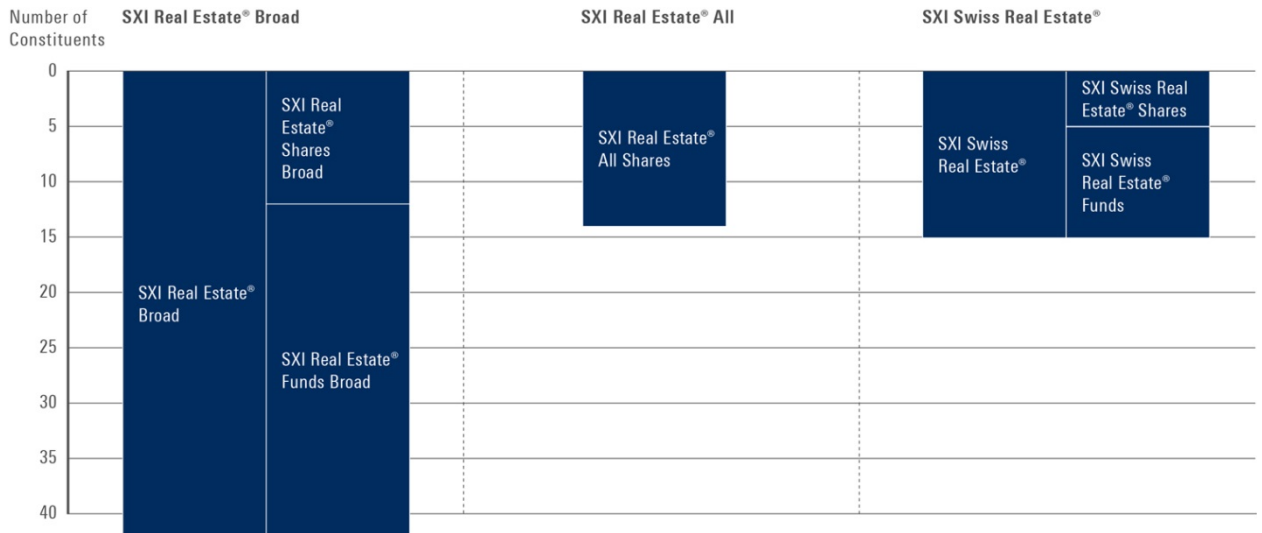


Figure 1: Overview of the indices in the SXI® Special Family

1.2 General principles

In order to achieve the stated index objective SIX Swiss Exchange defines the general principles that govern the index methodology. SIX Swiss Exchange publishes the index objective and rules for all indices.

- **Representative:**
The development of the market is represented by the index.
- **Tradable:**
The index components are tradable in terms of company size and market.
- **Replicable:**
The development of the index can be replicated in practise with a portfolio.
- **Stable:**
High index continuity.
- **Rules-based:**
Index changes and calculations are rule-based.
- **Projectable:**
Changes in rules are with appropriate lead time (usually at least 2 trading days) – no retrospective rule changes.
- **Transparent:**
Decisions are based on public information.

2 Index Structure Of SXI Indices

2.1 Criterion for investment companies: Structure of shareholdings

The Life Sciences sector contains shares in Swiss and foreign companies and in Swiss and foreign investment companies whose primary listing is on SIX Swiss Exchange.

An SXI index may also include investment companies, provided no more than 50% of their portfolio of shareholdings is made up of securities which are already represented in the corresponding SXI index.

The structure of investment company shareholdings will be reviewed on each benchmark date. Inclusions or exclusions will then take place on the adjustment date.

If no reliable information on the structure of an investment company's shareholdings can be determined at the benchmark date, the investment company in question will not be included in the index or will be excluded from the index on the relevant adjustment date.

2.2 Securities universe Life Sciences

The Life Sciences sector contains shares in Swiss and foreign companies and in Swiss and foreign investment companies whose primary listing is on SIX Swiss Exchange.

2.2.1 Standardisation Life Sciences

Name	ISIN	Symbol	Base Date	Base Value
SXI LIFE SCIENCES® TR	CH0017810737	SLIFE	30.12.1999	1000
SXI LIFE SCIENCES® PR	CH0017810760	SLIFEX	30.12.1999	100
SXI Bio+Medtech® TR	CH0017810794	SBIOM	30.12.1999	1000
SXI Bio+Medtech® PR	CH0017811156	SBIOMX	30.12.1999	100

2.3 Securities universe Real Estate sector

The Real Estate sector contains shares with a primary listing in accordance with the regulatory standard for real estate companies (see Listing Rules Art. 77 LR Definition), as well as real estate funds which have a primary listing on SIX Swiss Exchange.

2.3.1 Standardisation Real Estate sector

Name	ISIN	Symbol	Base Date	Base Value
SXI Real Estate® Broad TR	CH0049815738	SREAL	28.12.2007	1000
SXI Real Estate® Broad PR	CH0049815746	SREALX	28.12.2007	1000
SXI Real Estate® Shares Broad TR	CH0042660313	REAL	30.12.2005	1000
SXI Real Estate® Shares Broad PR	CH0042660347	REALX	30.12.2005	1000
SXI Real Estate® Funds Broad TR	CH0009947406	SWIIT	01.01.1995	100
SXI Real Estate® Funds Broad PR	CH0010240437	SWIIP	01.01.1995	100
SXI Real Estate® All Shares TR	CH0353685362	RESAL	30.12.2005	1000
SXI Real Estate® All Shares PR	CH0353685370	RESALX	30.12.2005	1000
SXI Swiss Real Estate® TR	CH0049815761	CHRE	28.12.2007	1000
SXI Swiss Real Estate® PR	CH0049815779	CHREX	28.12.2007	1000

Name	ISIN	Symbol	Base Date	Base Value
SXI Swiss Real Estate® Shares TR	CH0049815795	CHRES	30.12.2005	1000
SXI Swiss Real Estate® Shares PR	CH0049815803	CHRESX	30.12.2005	1000
SXI Swiss Real Estate® Funds TR	CH0049815829	CHREF	28.12.2007	1000
SXI Swiss Real Estate® Funds PR	CH0049815837	CHREFX	28.12.2007	1000

2.4 Securities universe of the Sustainability segment

The SXI Switzerland Sustainability 25 Index gathers companies that fulfil, according to our Research Partner (Sustainalytics), criteria to be considered sustainable among all companies composing the SMI Expanded index.

2.4.1 Standardisation of the Sustainability segment

Name	ISIN	Symbol	Base Date	Base Value
SXI Switzerland Sustainability 25 TR	CH0235574404	SSUST	30.12.2008	100
SXI Switzerland Sustainability 25 PR	CH0235557490	SSUSTX	30.12.2008	100

2.5 Index Commission

The Management Committee of SIX Swiss Exchange is supported by the Index Commission (advisory board) in all index-related matters, notably in connection with changes to the index rules and adjustments, additions and exclusions outside of the established review and acceptance period.

The Index Commission convenes at least twice a year. It provides valuable input on how existing products can be improved and new ones created.

2.6 Review of index concept

The validity of the index concepts and rules is reviewed on a regular basis. In exceptional cases a broad market consultation can be conducted. The changes to the index rules are publicly announced with appropriate lead time (usually 3 months).

2.7 Termination of the index calculation

A decision to discontinue will be publicly announced by SIX Swiss Exchange with appropriate lead time.

In case of existing financial products linked to the index, of which SIX Swiss Exchange is aware, a market consultation is conducted in advance and a transition period is introduced before the definitive termination.

3 Index Calculation

3.1 Laspeyres index formula

The SXI index family is calculated using the Laspeyres method with a weighted arithmetic mean of a defined number of securities issues. The current level of the index is arrived at by dividing the capped market capitalisation of all securities contained in the index by a divisor.

$$I_s = \frac{\sum_{i=1}^M p_{i,s} * x_{i,t} * f_{i,t} * c_{i,t} * r_s}{D_t}$$

Legend:

t:	Current day
S:	Current time on day t
I_s:	Current index level at time s
D_t:	Divisor on day t
M:	Number of issues in index
p_{i,s}:	Last-paid price of security i
x_{i,t}:	Number of shares of security i on day t
f_{i,t}:	Free float for security i on day t
c_{i,t}:	Capping factor of security i on day t
r_s:	Current CHF exchange rate at time s

3.2 Divisor

The divisor is a technical number used to calculate the index. If the market capitalisation changes due to a corporate event (see Section 5), the divisor changes while the index value remains the same.

The new divisor is calculated on the evening of the day before the corporate event takes effect.

3.3 Limits on security weightings

3.3.1 Life Sciences sector

A high degree of diversification is sought with regard to the Life Sciences sector. Therefore, the index weight of a given security is limited ("capped") at 10% even if its actual weighting were to exceed the 10% level. This cap is calculated on the basis of a capping factor.

If a single company has issued two equity securities (e.g. registered shares, bearer shares, participation certificates, bonus certificates), these securities are cumulated. If the cumulated index weighting exceeds the 10% threshold, the weighting is capped at 10% – the cap being applied to the smaller of the two securities. If the larger security already has a weighting of over 10%, the smaller security is not included in the index at all. The provisions of this section also apply by analogy where a single company has issued three or four equity securities.

If fewer than 11 issues are included in the given index, all relevant issues will be equally weighted.

3.3.2 Real Estate sector

There is no securities weighting limitation ("cap") in the Real Estate sector.

3.3.3 Sustainability segment

There is a securities weighting limitation in the SXI Switzerland Sustainability 25 index. Therefore, the index weight of a given security is limited ("capped") at 15%, if its actual weighting were to exceed the 15% level.

3.4 Treatment of dividends

The SXI indices are dividend-adjusted performance indices. They are also calculated as price indices in whose calculation dividend payments are not taken into account. The treatment of dividend payments is detailed in Section 5.3.

3.5 Free Float

The securities included in the SXI are weighted according to their free float. This means that large share packages that reach or exceed the threshold of 5% are subtracted from the total market capitalisation.

The listed real estate funds are considered to be freely tradable, i.e. their free float amounts to 100%.

The free float is calculated on the basis of outstanding shares. Issued and outstanding equity capital is, as a rule, the total amount of equity capital that has been fully subscribed and wholly or partially paid in and documented in the Commercial Register. Not counting as issued and outstanding equity capital are the approved capital and the conditional capital of a company.

The free float is calculated on the basis of listed shares only. If a company offers several different categories of listed participation rights, each is treated separately for the purposes of index calculation.

3.5.1 Shares in fixed ownership: definition

Fundamentally deemed to be shares held in firm hands are shareholdings that have been acquired by one person or a group of persons in companies domiciled in Switzerland and which, upon exceeding or falling below the 5% or higher threshold values defined in Art. 120 FinfraG, have been reported to SIX Swiss Exchange.

The threshold values defined Art. 120 FinfraG are, as of 5%, applicable analogously to companies domiciled abroad.

Shares of persons and groups of persons who are subject to a shareholder agreement which is binding for more than 5% of the listed shares or who, according to publicly known facts, have a long-term interest in a company are also deemed to be in fixed ownership.

3.5.2 Sources of information

For the calculation of the number of shares in firm hands, SIX Swiss Exchange may also use other sources than the reports submitted under Art. 120 FinfraG, Art. 55 Listing Rules and the Directive on Regular Reporting Obligations.¹ In particular, SIX Swiss Exchange may use data gained from issuer surveys that it conducts itself.

¹ <https://www.six-exchange-regulation.com> -> Issuer Regulations -> Listing Rules

3.5.3 Exceptions

In principle, shares held by the following groups are deemed free-floating regardless of whether a report has been made pursuant to Section 3.5.1.

- Custodian nominees
- Trustee companies
- Investment funds
- Pension funds
- Investment companies

SIX Swiss Exchange classifies at its own discretion persons and groups of persons who, because of their area of activity or the absence of important information, cannot be clearly assigned.

The free-float rule applies only to bearer shares and registered shares. Capital issued in the form of participation certificates ("Partizipationsscheine") and bonus certificates ("Genussscheine") is taken into full account in calculating the index because it does not confer voting rights.

3.6 Calculation interval and publication

The SXI indices are recalculated and published every three minutes. All index data is distributed by SIX Exfeed Ltd (indirect subsidiary of SIX Group Ltd).

3.7 Prices used

In calculating the index, the last-paid price is taken into account. If no price has been paid on the day of calculation, the bid price is used. In the absence of a bid price, the previous day's price is used. Only the prices achieved via the electronic order book of SIX Swiss Exchange are used.

3.8 Trading hours

The trading hours for Swiss equities, participation certificates and bonus certificates are determined by SIX Swiss Exchange.

Since the opening phase usually causes strong price fluctuations, the SXI indices are first calculated three minutes after the start of on order book trading. Their index levels are called the "open".

A closing auction takes place ten minutes before close of trading. At the close of trading, the final closing prices used in calculating the closing level of the SXI indices are established.

3.9 Final Settlement Value (FSV)

For the index options and futures expiry a Final Settlement Value (FSV) is calculated. The value is calculated using the first-paid price between 9:00:00 CET and 9:02:15 CET. If no price is available during this period for a component the last available price of the previous day is used.

4 Admission To And Exclusion From The Index

4.1 Ordinary adjustment dates

The changes to the index-basket composition of the SXI Real Estate und SXI Life Sciences Indizes will be made once a year after prior notice of at least two months on the third Friday in September after close of trading. The changes to the index-basket composition of the SXI Switzerland Sustainability 25 index will be made once a year after prior notice of at least two weeks on the third Friday in September after close of trading.

4.2 Criteria for Real Estate sector

4.2.1 Inclusion criterion for the SXI Real Estate All Shares index

The SXI Real Estate All Shares index includes all shares of the Real Estate sector as defined in paragraph 2.3.

4.2.2 Inclusion criteria for the SXI Real Estate Broad indices

The SXI Real Estate Shares Broad index includes all shares of the Real Estate sector as defined in paragraph 2.3, which invest at least 75% of their assets in Switzerland.

The SXI Real Estate Funds Broad index includes all real estate funds of the Real Estate sector as defined in paragraph 2.3, which invest at least 75% of their fund assets in Switzerland.

The balance sheet and the fund structure will be reviewed on each benchmark date.

The SXI Real Estate Broad index is a combination of the two abovementioned indices.

4.2.3 Determination of rankings for the selection of securities in the SXI Swiss Real Estate indices

As the basis for determining the rankings, a selection list is drawn up in which all of the securities included in the SXI Real Estate Shares Broad and SXI Real Estate Funds Broad are ranked. The position of each security is determined by a combination of the following criteria:

- Average free-float market capitalisation over the last 12 months (compared to the capitalisation of the entire index)
- Cumulated on order book turnover over the last 12 months (compared to the total turnover of the index).

These criteria are each given a weighting of 50% and yield the so-called weighted market share.

For the ordinary index review the time period used for the calculations is 1 July through 30 June of the following year. At the cut-off dates September 30, December 31 and March 31 a provisional selection list based on the average free-float market capitalisation and cumulated on order book turnover over the last 12 months is created. This selection list can be downloaded from the SIX Swiss Exchange Website.

4.2.4 Inclusion criteria SXI Swiss Real Estate indices

A security will be included in the SXI Swiss Real Estate Shares subindex if it is ranked 5 or better in the related selection list.

A security will be included in the SXI Swiss Real Estate Funds subindex if it is ranked 9 or better in the related selection list. A security ranked 10 can only be included if some other security from the SXI Swiss Real Estate Funds universe directly fulfils the exclusion criteria (rank 12 or lower) and no other security that either directly fulfils the inclusion criteria (rank 9 or better) or is classified higher has moved up the list.

4.2.5 Exclusion criteria SXI Swiss Real Estate indices

Securities that are ranked 6 or lower will be excluded from SXI Swiss Real Estate Shares.

Securities will be excluded from the SXI Swiss Real Estate Funds subindex if they are ranked 12 or lower in the related selection list. A security ranked 11 can only be excluded if some other security directly fulfils the inclusion criteria (rank 9 or higher) and no other security that either directly fulfils the exclusion criteria (rank 12 or lower) or is classified lower has moved down the list.

4.3 Criterion Life Sciences sector

4.3.1 Criterion "ICB sector classification"

Sector allocation is based on the ICB (Industry Classification Benchmark) classification standard developed by the FTSE. The securities universe of the Life Sciences sector index comprises one or more predefined ICB subsectors as listed below. To be included in the index, a security must have an allocation in one of the indicated ICB subsectors.

A security that has not yet been classified under ICB can be included in the index if the business activities of the company are clearly related to one of the predefined ICB subsectors.

The SXI LIFE SCIENCES index contains issues from the following SPI sectors:

- 4533 Healthcare Providers
- 4535 Medical Equipment
- 4537 Medical Supplies
- 4573 Biotechnology
- 4577 Pharmaceuticals

The SXI Bio+Medtech index is a sub-index of the SXI LIFE SCIENCES index. It includes all the issues contained in the SXI LIFE SCIENCES that are not from the Pharmaceuticals sector.

4.3.2 Minimum capitalisation

The indices of the Life Sciences sector include only those securities that, measured over one year's time, have an average free float capitalisation of at least CHF 100 million. Calculation of the average free float capitalisation is accomplished in accordance with the selection list described in Section 4.2.

4.4 Criterion Sustainability segment

Starting with the SMI Expanded Index universe, the following companies are excluded:

- Non-eligible companies according to our Research partner which do not fulfil the Sustainability criteria

The top 25 companies in terms of the highest Sustainability score are selected to compose the SXI Switzerland Sustainability 25 Index. If more than one listing of a company qualifies, the listing with the larger market capitalisation is selected.

4.5 Adjustments outside of the specified review and acceptance period

In the case of major market changes as a result of capital events such as mergers or new listings, the Management Committee of SIX Swiss Exchange can decide at the request of the Index Commission that a security should be admitted to the SXI family outside the accepted admission period as long as it clearly fulfils the criteria. For the same reasons, a security can also be excluded if the requirements for admission to the SXI family are no longer fulfilled.

4.6 Criterion "free float"

A minimum of twenty percent of the total shares of a given company must be free-floating for it to be admitted to the SXI.

In order for a security to remain in the index, the free float must remain at 20% or more. If the percentage falls below this minimum and does not reach it or surpass it within three months, the security in question is removed from the Index.

Securities not included in the SXI index because they do not meet the free-float condition are admitted to the index if the minimum free-float threshold of 20% has been exceeded continuously for three months.

The adjustment is made after a notification period of 10 trading days.

4.6.1 Reporting obligation for companies domiciled abroad

Companies domiciled abroad will, at the given company's request, be included in the SXI if they commit to fulfil the reporting requirement under Art. 55 of the Listing Rules and Annex 1 to Admission Board Circular No. 1.

If a company fails to comply with its reporting requirement, its shares will be excluded from the SXI family following an advance warning.

4.7 Admission of newly listed securities (IPO)

Securities which have a new primary listing on SIX Swiss Exchange may be included in the SXI indices as early as their second trading day, outside the stipulated adjustment dates. An extraordinary inclusion of securities and funds to the SXI Real Estate family is carried out in three equal stages such as through the gradual increase of the number of shares or the free float factor over three trading days.

To qualify for inclusion, the security must have satisfied each and every one of the following criteria on its first trading day.

- Free float: The freely tradable portion amounts to at least 20% (applies only to equity securities).
- Foreign-domiciled companies that fulfil the reporting requirement under Section 4.6.1 may also be included.

Applicable additionally to the Life Sciences sector:

- Industry classification: Owing to its business activities, the company is clearly allocable to one of the industry classifications under the ICB standard (applies only to equity securities).
- Capitalisation: The company's free float capitalization amounts to at least CHF 100 million.
- "Structure of shareholdings" (for investment companies): Less than 50% of the portfolio of shareholdings is accounted for by securities from the corresponding SXI index.

5 Index Adjustments

5.1 Ordinary adjustments

The number of securities and free-float shares are adjusted on four ordinary adjustment dates a year:

- The third Friday in March (after close of trading)
- The third Friday in June (after close of trading)
- The third Friday in September (after close of trading)
- The third Friday in December (after close of trading)

SIX Swiss Exchange may conduct a capital survey among issuers in order to obtain the required data.

The announcement of the provisional new securities occurs at least one month before the adjustment date. SIX Swiss Exchange reserves the right to take account of recent changes before the adjustment date, so the definite new securities are announced only five trading days before the adjustment date.

The capping factors are also adjusted on a quarterly basis.

The capping factors are calculated five trading days before the adjustment date. The calculation is based on the definite new share numbers and free float figures for the next adjustment date.

5.2 Extraordinary adjustments

In order to avoid frequent slight changes to the weighting and to maintain the stability of the indices, any extraordinary change of the total number of outstanding securities or the free float with a 2 day notice period will only result in an extraordinary adjustment if it exceeds 10% and 5% respectively, occurs from one trading day to the next one and is in conjunction with a corporate action.

After a takeover, SIX Swiss Exchange may, in exceptional cases, adjust the free float of the company in question upon publication of the end results. A five-day notification period applies. At the same time, SIX Swiss Exchange may exclude the security from the relevant index family.

Where an insolvency has been announced, an extraordinary adjustment and an exclusion from the indices will be made, taking into account a notification period of 5 trading days.

In case SIX Regulation has confirmed a delisting, an exclusion from the indices will be made at the next upcoming ordinary adjustment date (March, June, September, December) taking into account a notification period of at least 5 trading days.

If a company is deleted from indices with a fixed number of constituents as result of a delisting, takeover or bankruptcy a replacement is determined based on the current selection list.

In exceptional cases, SIX Swiss Exchange reserves the right to make this adjustment without observing the notification period.

5.2.1 Extraordinary adjustment of the capping factors in the Life Sciences sector

An extraordinary capping procedure will take place if, upon the inclusion of a new issue into the given index according to Section 4.5, this new issue would have a weighting in excess of 10%.

The calculation and announcement of the new capping factors will generally take place five trading days – but at least one trading day – prior to the event in question. The calculation will be based on closing prices on the calculation day itself.

5.3 Dividend and other payments

5.3.1 Regular cash dividend

Regular cash dividend payments do not result in adjustments to the divisors of price indices. Dividends are, however, fully taken account of in performance indices. Dividend payments are always treated as gross amounts, including the withholding tax portion.

	Divisor performance indices	Divisor price indices	Dividend points
Regular cash dividend	↘	→	Yes

5.3.2 Repayments of capital instead of a cash dividend

Repayments of capital through the reduction of a share's par value, which can take the place of a regular cash dividend or constitute a component of the regular distribution, are treated in the same way as a normal dividend payment (i.e. no adjustment to the price index divisor).

	Divisor performance indices	Divisor price indices	Dividend points
Repayments of capital instead of a cash dividend	↘	→	Yes

5.3.3 Extraordinary payments

Distributions (e.g., special dividends and anniversary bonuses) that, contrary to the company's usual dividend policy, are paid out or declared extraordinary dividends, are not deemed dividends in the above sense. These distributions are considered corporate events and also result in adjustments to the divisors of price indices.

	Divisor performance indices	Divisor price indices	Dividend points
Extraordinary payments	↘	↘	No

5.3.4 Share dividend (company's own shares)

Share dividends are not treated like ordinary dividend payments. The increase in the number of shares is offset by the lower price of the share on the ex-date. The capitalisation does not change in total and the divisors are not adjusted.

	Divisor performance indices	Divisor price indices	Dividend points
Share dividend (company's own shares)	→	→	No

5.3.5 Share dividend (shares of another company)

The distribution of a dividend on shares of another company is not classified as an ordinary dividend payment and so necessitates an adjustment of the price index divisor.

	Divisor performance indices	Divisor price indices	Dividend points
Share dividend (shares of another company)	↘	↘	No

5.3.6 Extraordinary situations

At variance to the treatment of dividends and other distributions described in Sections 5.3.1 through 0, SIX Swiss Exchange reserves the right in justifiable instances to diverge from those provisions.

5.4 Information on corporate events

Any relevant forthcoming extraordinary corporate events that result in an adjustment to the indices are published by email via Investor Service Equity.

The registration form is available on the SIX Swiss Exchange Website. SIX Swiss Exchange accepts no liability for Investor Service Equity.

5.5 Trade suspensions and market distortions

Should a data source (for example a price source) not be available as result of challenging economic conditions or other market distortions the last available data will normally be used.

In extreme cases a deviation from the rules defined in this rulebook can occur, for example, shifting the schedule of a regular index review.

All changes will be publicly announced at least two trading days in advance.

5.6 Index corrections

Index corrections distinguish between calculation errors and incorrect input data.

Calculation errors detected within a trading day are corrected immediately. Intraday tick data are not corrected retrospectively.

Calculation errors that are older or based on erroneous input data are corrected if technically possible and economically viable. If significant differences exist, index values can also be corrected retrospectively.

Interested parties may subscribe to an e-mail notifications service on the website. SIX Swiss Exchange distributes notifications over this channel on

- Changes in corporate actions and dividends
- Updates to the periodic index reviews
- Problems and error in the index calculation
- The launch of new indices
- General information on SMI indices



6 Trademark Protection, Use And Licensing

6.1 Protection

The [SIX Swiss Exchange Indices Trademarks](#) are internationally registered trademarks of SIX Swiss Exchange.

6.2 Licensing

6.2.1 Free usage

The [SIX Swiss Exchange Indices Trademarks](#) may be freely used for the purpose of honest reporting of the relevant index. Insofar as it is technically possible, the symbols [®] and [™] should be used, possibly with a footnote stating that these names are registered trademarks of SIX Swiss Exchange, Zurich.

6.2.2 Usage subject to licence

Any additional use of the [SIX Swiss Exchange Indices Trademarks](#) or any commercial use of the index figures (e.g., issue of index-linked financial instruments or capital insurance with or without mention of the trademark in the name or description) is only permissible with a valid licensing agreement.

Offering prospectuses must include the disclaimer which is available on the [SIX Swiss Exchange Website](#).

7 Contact

Information concerning the indices of SIX Swiss Exchange (index adjustments, announcements etc.) is available at the following Internet address:

http://www.six-swiss-exchange.com/indices_en.html

Any requests with respect to the indices may be directed to the following address:

SIX Swiss Exchange Ltd
Pfingstweidstrasse 110
P.O. Box
CH-8021 Zurich

Email: indexsupport@six-group.com

Phone: +41(0)58 399 22 29

SIX Swiss Exchange Ltd

Pfingstweidstrasse 110

P.O. Box

CH-8021 Zurich

T +41 58 399 5454

F +41 58 499 5455

The information contained in this document is given without warranty, implies no obligation of any kind on the part of SIX Group Ltd or the companies associated with SIX Group Ltd (referred to below as SIX Group Ltd) and may be altered by SIX Group Ltd at any time without further notice. To the extent permitted by law, SIX Group Ltd accepts no liability whatsoever for any errors contained in this document. SIX Group Ltd is under no obligation whatsoever to draw attention to such errors. Technical documentation must be used only in conjunction with the correct software version and may be used and copied only in accordance with the terms of the licence. All software described in the technical documentation is supplied on the basis of a licence agreement and may be used or copied only in accordance with the terms of the said licence agreement.

© Copyright SIX Group Ltd, 06.2016. All rights reserved. All trademarks observed.