

SIX Swiss Exchange message no. 37/2011

Subject	Changes in the registration procedure for traders of new participants
Category	Regulation
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Pages	1
Date	20.07.2011

Information 

Dear Sir/Madam

On 1 August 2011, a change regarding trader registrations of new participants will enter into force. This change affects section 3.2.3 of Directive 1 (Admission of Participants; Registration procedure).

SIX Swiss Exchange and Scoach Schweiz can grant traders of new participants a provisional registration during a three-month period beginning at the point when the new participant begins trading. An individual trader must apply for the trader examination before commencing trading, otherwise the provisional registration cannot take place.

The trader with the provisional registration must pass the trader examination within this three-month period.

The updated version of Directive 1 can be accessed via the following link:

SIX Swiss Exchange: http://www.six-swiss-exchange.com/rule_book/02-REV-DIR01_en.pdf

Scoach Schweiz: http://www.scoach.ch/MediaLibrary/Document/Directive01_en_20110801.pdf

In this respect, please note that the regulations for traders of existing participants that are being registered for the first time are unchanged, i.e. it is the responsibility of the participant to acquire this license before commencing trading

Kind regards
 Member Education and Member Services
 SIX Swiss Exchange Ltd

If you have any questions, please do not hesitate to contact Member Education or Member Services:

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