

## SIX Swiss Exchange message no. 51/2019

Title	<b>SWXess Maintenance Release SMR8.1. (SMR8.1) – Publication of trading regulations</b>
Category	Regulations
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Pages	2
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Information 

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### Content of this message:

- Publication of updated "[SMR8.1 – Participant Readiness](#)" brochure
  - Publication of the SMR8.1 Reporting Office Rules effective from 28 October 2019
  - Publication of the List of Charges under the Trading Rules, effective from 1 November 2019
  - SMR8.1 rollout to the production environment is scheduled for 28 October 2019 with a parallel phase for participants to migrate to the new Transaction Reporting Interface in the Swiss format by 31 January 2020
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In [SIX Swiss Exchange message 47/2019](#), SIX Swiss Exchange informed Participants about the start of the Membertest Phase for SWXess Maintenance Release 8.1 (SMR8.1), which contains **mandatory changes in transaction reporting in the Swiss format**.

This message informs participants about the publication of the trading regulations for SMR8.1.

### Participant Readiness

The **updated** "[SMR8.1 – Participant Readiness](#)" brochure published with this message now also contains detailed information on the regulatory changes of SMR8.1. The changes since the last version of the document can be found in the document history of this brochure.

More information about the SWXess Maintenance Release 8.1 (SMR8.1) can be found on the [SWXess Maintenance Release \(SMR\)](#) web page and in the [Member Section](#) of SIX Swiss Exchange.

### Trading Regulations

The following Rules and Guidelines are affected by changes introduced with SMR8.1 and have been amended accordingly:

- **Rules** of SIX Swiss Exchange AG
  - Reporting Office Rules
- **Guidelines** of SIX Swiss Exchange AG
  - List of Charges under the Trading Rules

The amended Reporting Office Rules enter into force on **28 October 2019** and the amended List of Charges under the Trading Rules on **1 November 2019**.

The amended Regulations are published under the following links on the SIX Swiss Exchange website:

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Rules	<a href="https://www.six-group.com/exchanges/participants/regulation/rules_regs_en.html">https://www.six-group.com/exchanges/participants/regulation/rules_regs_en.html</a>
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Guidelines	<a href="https://www.six-group.com/exchanges/participants/regulation/guidelines_en.html">https://www.six-group.com/exchanges/participants/regulation/guidelines_en.html</a>
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A detailed list of the amendments to the Rules and Guidelines for SMR8.1 can be found in section 5 of the "[SMR8.1 – Participant Readiness](#)" brochure or under the following link on the SIX Swiss Exchange website:  
[https://www.six-group.com/exchanges/participants/regulation/revisions/rule\\_book\\_en.html](https://www.six-group.com/exchanges/participants/regulation/revisions/rule_book_en.html)

### Testing

SMR8.1 has been available for testing in the Membertest environment since 16 September 2019. Please be reminded that SMR8.1 includes mandatory changes in transaction reporting in the Swiss format. We therefore strongly recommend that Participants test their applications, interfaces and business processes in this area during the Membertest phase.

### Rollout

The rollout of SMR8.1 in the Production environment is planned for **28 October 2019**. SIX Swiss Exchange will confirm the Production go-live of SMR8.1 by 18 October via a SIX Swiss exchange message.

SIX Swiss Exchange continuously strives to enhance the SWXess trading platform and the range of products and services. Our members will benefit from the improvements introduced in SMR8.1, which ensure ongoing compliance with statutory reporting obligations.

If you have any questions, please do not hesitate to contact Member Services:

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**E-mail:** [member.services@six-group.com](mailto:member.services@six-group.com)

Links to SIX Swiss Exchange:

[www.six-group.com](http://www.six-group.com) | [Member Section](#) | [Forms](#) | [Rules](#) | [Directives](#)