



PRIIP-KID Service

Automated content generation, maintenance and distribution.

Manufacturers and advisors of structured investment and insurance-based products are challenged with creating, maintaining and disseminating an exponential volume of PRIIP-KIDs. SIX is the one-stop shop for the production and distribution of the legally required pre-sale documentation.

Legal environment

EU-wide regulation requires Key Information Documents (KIDs) be provided during the investment advisory process to retail investors with answers to their key questions about the features, risks and costs of Packaged Retail and Insurance-based Investment Products (PRIIP). Effective as of January 2017, the legislation requires products to be explained in plain language, in order to allow easier comparison between products.

Manufacturers must author and maintain PRIIP-KIDs in multiple languages, while advisors must ensure that retail investors receive an up-to-date version of the document throughout the product lifecycle. Creating such highly complex documentation demands know-how and legal expertise, and requires an administrative effort that is time and cost consuming.

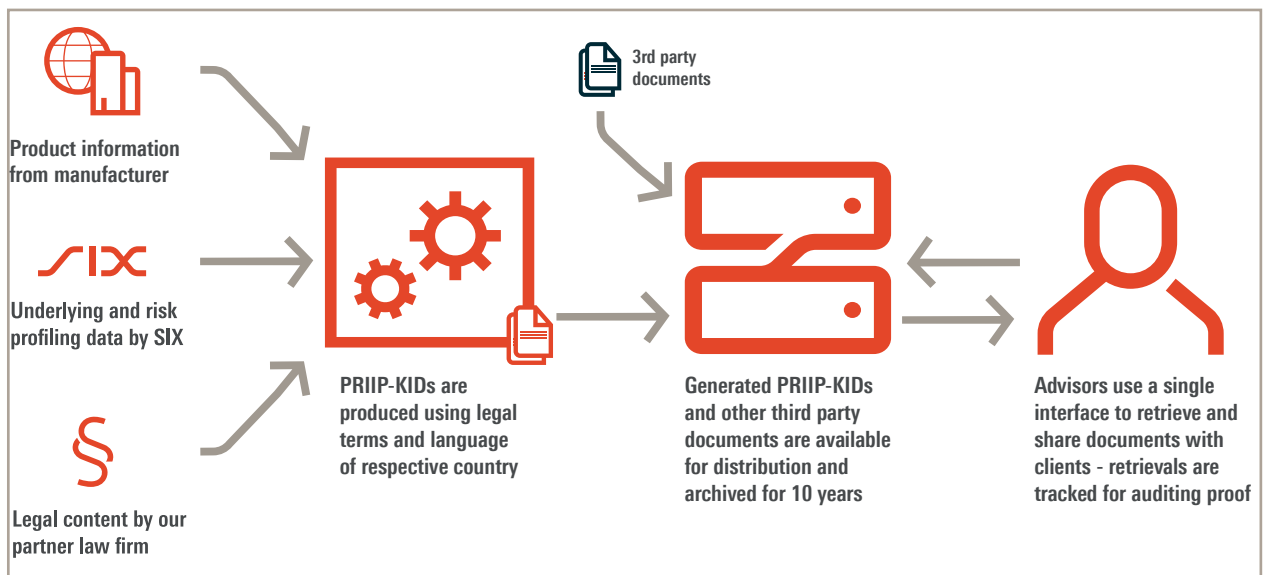
Our service

SIX offers a single platform to manage this requirement for highly complex documentation. Our service integrates relevant SIX financial content and allows PRIIP-compliant KIDs to be created, maintained and distributed, which greatly reduces the cost and complexity of PRIIP-KID management.

Our own compliance experts, in collaboration with an established and well known legal partner, ensure the production of correct regulatory documentation in all required languages across the EU and EFTA member states.

Key benefits

- **Add value** with an industry-wide solution that reduces costs while enhancing your distribution network
- **Gain efficiencies** with a one-stop shop for all pre-sale documents
- **Keep your fast-paced business running** by making PRIIP-KIDs instantly available in any language
- **Save time** by having your KIDs kept automatically up-to-date
- Easy **integration into your** core banking software and online trading platforms
- **Remain compliant** with a PRIIP-KID generation workflow that is 3rd party certified



A streamlined workflow to enable accurate and timely PRIIP-KID delivery.

Automated document handling

SIX offers a one-stop shop for regulatory document management, reducing cost and complexity.

- Extensive automation of reports and factsheets (standard and OTC products)
- Customized and detailed view statistics
- 10-year archiving for auditing compliance
- Highest security standards
- On-demand creation and update of documents
- Plain-language version of documents in all EEA languages

Easy access & delivery

The SIX distribution platform helps banks reduce the cost and complexity of compliance. Integrate our service into your core banking systems or use a web interface to access, retrieve and send key product information to clients. Automatic notification ensures that clients are informed when a KID update is triggered by market events such as corporate actions, barriers, etc.

Third party documents including PIBs and product factsheets can be hosted alongside documents generated by SIX.

Authoring legally compliant documents

Thanks to our unique database containing reference data, corporate actions, price and market data on millions of financial instruments, SIX is the ideal partner to help you create legally compliant factsheets required for regulatory purposes. Together with our carefully selected legal and software partners, we offer a wide range of regulatory support and document services:

- PRIIP-KID (Packaged Retail and Insurance-based Investment Products)
- FIDLEG-BIB (Base Information Bulletin)
- PIB (Product Information Bulletin)
- KIID (Key Investor Information Document)
- UCITS-KID (Undertakings for Collective Investment in Transferable Securities)

Regulatory expertise

SIX has over 85 years of expertise in the provision of high quality market and reference data. A collaboration between in-house compliance experts and global partners, SIX ensures you get comprehensive compliance content and services. We cover over 50 national and international regulations. Over 70 clients trust SIX as a partner of choice for PIBs.

The PRIIP-KID Service includes

- Hosting, operation, quality assurance, and performance monitoring
- Reference and market data with mapping to the templates
- Legal templates & configuration in all EEA languages
- Maintenance of existing documents
- 10-year archiving & log function
- Integration of 3rd party PRIIPs
- 24/7 Customer Support

For more information, please contact your local SIX Financial Information office and a representative will be happy to assist you.

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