



Advanced Tax Services – Reclaim

All Gain. No Pain. For Banks and Investors.

SIX offers an end-to-end service to reclaim withholding taxes – a market first. Advanced Tax Services – Reclaim creates significant opportunities for banks and investors to optimize revenues and returns that – due to cost considerations – were only available to large scale investors in the past.

Reclaiming withholding taxes used to be a complex, country-specific and opaque process for banks and investors. Advanced Tax Services – Reclaim from SIX changes the way tax reclaims are processed – possibly forever. With this offering, SIX takes over the entire process chain from market-specific tax-form creation, through coordinating with relevant tax authorities right up until tax refunds. This approach streamlines and optimizes the complete value chain – banks gain efficiencies through reduced complexity and new clients. And investors profit from higher returns on their investments.

Rely on Advanced Technology and Long-Term Tax Expertise

Advanced Tax Services – Reclaim is not a pure software solution nor solely dependent on tax expertise. It combines innovative technology with the profound

know-how of our tax experts and our experience in setting up efficient and cost-effective processes. Advanced Tax Services – Reclaim satisfies demands for the easy and smooth handling of tax reclaims and can also be used for assets deposited outside SIX (custody independent).

Broaden Your Service Offering and Generate Additional Revenue for You and Your Clients

Double taxation leads to significantly lower returns for investors, estimated to be worth billions per year. Advanced Tax Services – Reclaim from SIX generates economies of scale across all stakeholders resulting in significant cost savings for banks. At the same time, investors can optimize their after tax portfolio performance significantly. The result is all gain and no pain.

Benefit from Seamless Processing and Our Strong Relationships with Tax Authorities

As part of this service, SIX generates and prepares all required tax forms and certifications in cooperation with the relevant tax authorities on behalf of the corresponding beneficial owner.

Ensure That Your Data Is Well Protected

Being systemically important and regulated by the Swiss Financial Market Supervisory Authority (FINMA) and the Swiss National Bank (SNB), SIX is subject to rigorous data protection obligations ensuring that client data security is assured at all times.

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All Gain

- **Enhanced quality:** automated data enrichment, ongoing regulatory compliance
- **Modularity:** adapts industry/regulatory changes; market specific client tax reports
- Greater **savings** for banks
- Better **returns** for investors

No Pain

- **Reduced risk:** full audit trail and consistent processes
- **Improved compliance:** complies with current tax regulation/associated reporting requirements

Exchange Services from SIX

SIX supports the Swiss financial center and, in turn, the whole Swiss economy. Our exchange-related core functions are listing and trading. Our exchange is the ideal listing venue for companies of any origin, size and industry, and we offer outstanding liquidity for trading Swiss securities.

We bring companies from all over the world together with international investors and trading participants. As a central marketplace for securities, we help ensure that securities are priced efficiently and capital is deployed where it generates the best financial return.

Thanks to our system of self-regulation, we have in place a particularly market-friendly framework for listing and trading both Swiss and foreign equities, bonds, exchange-traded funds (ETFs), exchange-traded products (ETPs), funds and structured products.

A major feature of and key success factor underpinning our exchange is the efficient, secure and, above all, independent post-trade infrastructure that SIX has in place. It ensures that investors receive the securities they have actually bought on the exchange, while counterparties receive the proceeds from the securities they have sold.

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