



Asset Servicing

# Helping You Improve Your Operational Efficiency

SIX's integrated Asset Servicing provides institutional investors with powerful tools to help them improve their operational efficiency. This enables them to react quickly, flexibly and effectively to changing market conditions.

## **Your Toolkit for Effective Processing and Decision Making**

As a leading provider of Asset Servicing, SIX provides seamless and integrated services in the area of corporate actions:

- Dividends and distributions
- Repurchase offers and capital increases
- Liquidations and takeover offers
- Entitlements

SIX provides high-quality, consolidated corporate action data from multiple sources – for 22 hours a day. Complete event documentation is provided via a comprehensive database containing verified and enriched data. This proactive and in-depth market information offers significant added value when it comes to decision-making processes.

## **Your Instructions – Sent Simply and Securely**

In addition to SWIFT, you can also send instructions 100% securely with just a few clicks using our **Corporate Actions Enhanced Services (CAES)**. CAES automates

processes for entering, completing and verifying data and provides a wide range of services to centralize the management of corporate actions.

Thanks to the expanded search and filter functions, it is possible to quickly and seamlessly find executed, pending or upcoming corporate actions. At the same time, the SWIFT reminder service ensures that you meet all your deadlines.

Your instructions are processed and confirmed immediately by SIX. The highly automated handling with continual matching and minimal manual intervention guarantees maximum efficiency and a significant reduction of settlement risk.

Because SIX already books your holdings on the value date, you benefit immediately from your funds being available in good time. This helps you create projections and manage your cash flow more effectively.

## Direct Proxy Voting – Even Abroad

You can use the SIX portal to obtain all the information you need for general meetings, order admission cards or to set up your proxy votes. Your voting instructions are

entered directly within the portal and then forwarded immediately by SIX to the relevant company. Available voting results are then published in the SIX portal straight after the general meeting.

## Corporate Actions



### Adding Significant Value

- High-quality, consolidated corporate actions data from multiple sources.
- Updated 22 hours a day.
- Matching, enrichment and refinement of data to reduce settlement risk.
- Simple and secure transmission of instructions.
- Guaranteed compliance with all deadlines through the SWIFT reminder service.
- Highly automated processing for maximum efficiency and absolute security.

### What You Need to Know

- SIX is one of Europe's leading providers of Asset Servicing.
- SIX provides you with business-relevant information on all listed companies both in Switzerland and abroad.
- You can individually structure the various corporate actions services in line with your needs.

### Exchange Services from SIX

SIX supports the Swiss financial center and, in turn, the whole Swiss economy. Our exchange-related core functions are listing and trading. Our exchange is the ideal listing venue for companies of any origin, size and industry, and we offer outstanding liquidity for trading Swiss securities.

We bring companies from all over the world together with international investors and trading participants. As a central marketplace for securities, we help ensure that securities are priced efficiently and capital is deployed where it generates the best financial return.

Thanks to our system of self-regulation, we have in place a particularly market-friendly framework for listing and trading both Swiss and foreign equities, bonds, exchange-traded funds (ETFs), exchange-traded products (ETPs), funds and structured products.

A major feature of and key success factor underpinning our exchange is the efficient, secure and, above all, independent post-trade infrastructure that SIX has in place. It ensures that investors receive the securities they have actually bought on the exchange, while counterparties receive the proceeds from the securities they have sold.

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