

FrontLine SIX x-clear AG

Anhang

Anpassung der Preislisten von SIX x-clear AG / SIX x-clear AG (CLARA) – gültig ab 1. Juli 2018

Preisliste SIX x-clear AG

1.0 Cross Rebate (Zusatzrabatt)

Der Cross Rebate wird ab 1.7.2018 nicht mehr berücksichtigt. Das Unterkapitel 1.3 wird somit komplett gelöscht.

2.0 CCP Clearing Services

2.1 Cash Products

Bezeichnung	Ansatz in CHF	MwSt.	Code
Clearing transactions equity (SIX x-clear)	Entsprechend dem Monatsvolumen gemäss der unten stehenden Tabelle	0.00%	1100

Bestehendes Clearing-Transaktionsgeschäft (Preisstaffel)		Neues Zusatzgeschäft Kosten pro Transaktion (in CHF)
Volumenbereich (Transaktionen pro Monat)	Kosten pro Transaktion (in CHF)	
Bis 150'000	0.080	0.005
150'000 bis 500'000	0.040	
500'000 bis 2'500'000	0.015	
2'500'000 bis 5'000'000	0.007	
Über 5'000'000	0.003	
<i>Bitte beachten: Das tatsächliche monatliche Transaktionsvolumen muss höher sein als die anwendbare Stufe.</i>		<i>Pauschalpreis</i>

Der günstige pauschale Clearingpreis (d.h. CHF 0.005 pro geclearter Transaktion) kommt wie folgt zur Anwendung:

- Bestehende Kunden, die zusätzliche Handelsplätze aufschalten und so neues Aktien-Clearingvolumen generieren, können von einem Vorzugsclearingpreis profitieren (pauschal CHF 0.005 pro geclearter Transaktion). Dieser Pauschalansatz gilt für einen Zeitraum von zwei Jahren für alle Transaktionen an einem neuen Handelsplatz. Der Zeitraum beginnt mit der Aufschaltung des neuen Handelsplatzes oder an einem vereinbarten Datum.

3.0 Settlement (SIX x-clear AG)

Bezeichnung	Berechnungsbasis	Ansatz in CHF	MwSt.	Code
SIX x-clear CCP Settlement domestic	je Abwicklung	1.05	0.00%	2100
SIX x-clear T2S CCP settlement domestic	je Abwicklung	1.05	0.00%	2110

Heimmarkt		ID des SCO/CSD	Ansatz in CHF
BE	Belgien	NL.100'003	2.10
DK	Dänemark	DK.100'033	3.40
DE	Deutschland	DE.100'408	1.60

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Heimmarkt		ID des SCO/CSD	Ansatz in CHF
GB	Grossbritannien	GB.101'985	1.05
IE	Irland	GB.101'985	1.05
IT	Italien	IT.100'054	2.10
NL	Niederlande	NL.100'003	2.10
NO	Norwegen	NO.100'009	2.80
PT	Portugal	PT.100'002	4.10
SE	Schweden	SE.100'041	2.10
HU	Ungarn	HU.100'016	11.00
Spezielle Märkte			
ECn	Euroclear Bank equities	BE.100'519	7.00

4.0 Appendix I – Erläuterungen zum Cross Rebate (Zusatzrabatt)

Das Kapitel wird komplett gestrichen (Wegfall des Cross Rebate).

Pricelist SIX x-clear Ltd. (CLARA)

1.0 Cross-rebate (additional rebate)

This chapter will be deleted completely (discontinuation of cross-rebate)

2.0 CCP Clearing Services

2.1 Clearing Fees Cash Products

A volume-based tariff structure applies to clearing transactions (on a gross basis, i.e. before any netting) under which all levels must be passed through. The clearing volumes of different trading relations are bundled with SIX x-clear Ltd provided that they belong to the same legal entity and/or holding company. The SIX x-clear Ltd group volume is applicable where the combined group volume defines the prices on a step-scale. The average price applies to all members of the group.

For equity flows from the onboarding of existing clearing members at new trading venues, an attractive flat price is applicable. Please note that such a flat price volume is excluded from the group tiered pricing volume below.

Designation	Rate in CHF	VAT	Code
Clearing transactions: equity (SIX x-clear)	According to monthly volume as per the table below	0.00%	9100
Existing clearing transaction business (tiered pricing)		New flows	
Volume band (transactions per month)	Cost per transaction (in CHF)	Cost per transaction (in CHF)	
Up to 150,000	0.080	0.005	
150,000 to 500,000	0.040		
500,000 to 2,500,000	0.015		
2,500,000 to 5,000,000	0.007		
Over 5,000,000	0.003		
<i>Please note that the actual monthly transaction volume</i>		<i>Flat price</i>	

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Existing clearing transaction business (tiered pricing)		New flows
Volume band (transactions per month)	Cost per transaction (in CHF)	Cost per transaction (in CHF)
<i>must exceed the applicable level.</i>		

2.2 Trading and Clearing Fees Derivatives Products

For trading and clearing fees for Derivative Products the price list (as amended from time to time) available at Oslo Børs web site shall apply:

http://www.oslobors.no/ob_eng/Oslo-Boers/Regulations/Derivatives-rules

SIX x-clear Ltd collects on behalf of Oslo Børs, the trading fees for Derivative Products.

Designation	Rate in NOK	VAT	Code
Oslo Børs trading/clearing derivatives products	As per Oslo Børs tariff (see link above)	0.00%	9900

3.0 Settlement Related Fees

3.1 Settlement Cash Products

The settlement of single contracts in equity products is charged as follows:

Designation	Calculation method	Rate in CHF	VAT	Code
Settlement: Cash Products	per settlement	see below	0.00%	9200

Domestic market		ID of SCO/CSD	Rate in CHF
DK	Denmark	DK.100'033	3.40
NO	Norway	NO.100'009	2.80
SE	Sweden	SE.100'041	2.10

Third-party fees:

Other third-party fees in reference to VAT-eligible services may be charged as follows:

Designation	Rate in CHF	VAT	Code
Settlement: Cash Products	see below	0.00%	9200

4.0 Reporting Services

For ad hoc reports on demand, please contact your Relationship Manager. Fees will be charged depending on the required completion effort (Code 9560).

5.0 Appendix I – Notes on the cross-rebate (additional rebate)

This chapter will be deleted completely (discontinuation of cross-rebate)